Center for Court Innovation

## The Evolution of Teleservices:

**Developments and Emerging Opportunities** 

Council of Accountability Court Judges of Georgia Annual Training Conference October 11, 2022

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# Center for Court Innovation



Center for Court Innovation (The Center) **OUR MISSION** is to make the justice system fair, effective, and humane. We create <u>operating</u> <u>programs</u> to test new ideas and solve problems, perform original <u>research</u> to determine what works (and what doesn't), and provide <u>expert assistance</u> to justice reformers around the world.









# Statewide Drug Court Technical Assistance Provider

We work primarily through the <u>statewide</u> <u>treatment court coordinators</u> to assist <u>state-level</u> <u>agencies</u> improve or enhance their <u>drug</u> <u>treatment court networks</u>.

Our approach to TA prioritizes a collaborative approach, includes individualized TA work plans, onsite and remote TA, and in-depth needs assessments and recommendations.

Center for Court Innovation

# First things first...

The opinions, findings, and recommendations expressed in this presentation are those of the authors and do not necessarily represent the positions or policies of the Bureau of Justice Assistance or the Center for Court Innovation State-Based Adult Drug Court Training and Technical Assistance Program.



#### **Technology Publications**



for Court

#### Opioid Reduction Teleservices Program

Final Report to the Bureau of Justice Assistance Comprehensive Opioid, Stimulant, and Substance Abuse Program

#### TREATMENT COURTS AND COVID-19 Reginning in early 2020, the COVID-19 pandemic scread across the United States, upending

OVERALL THEMES

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ommunities, economies, institutions, and the daily life of millions. Among the most ulnerable were people involved in the criminal justice system, especially th from mental illness and substance use disorders, who faced the ripple effects of the

pandemic as courts abruptly shut down and the virus spread through crowded jails and prisons. Treatment courts were firectly affected by these shutdowns. Court shutdowns and stay-at-home orders made it challenging for drug courts p adhere to best practices such as regular court appearances. referrals to treatment and other social services, and frequent drug and alcohol testing 1 In the face of these limitations, many courts across the country acted quickly with ovative solutions to meet participants' needs amid new and ever-changing restrictions and court closures To support these solutions, the Center for Court Innovation's technical assistance team provided a series of weekly webinars and facilitated remote discussion groups between statewide treatment court administrators. During these sessions. Center staff heard inspiring stories from around the country about courts that mobilized quickly and found creative ways to connect with, support, and engage participants remotely. The Center also conducted a national surve of unique strategies that treatment courts implemented to meet the challenges of the pandemic and received 24 ubmissions from 14 states

staff meetings, staff trainings, graduation, medication This document highlights some of those efforts. The purpose is to provide a set of themes and lessons on counts, and supervision. Numerous courts said that communication between participants, staff, and peers not how courts are adapting to better serve the vulnerable only continued during the COVID-19 pandemic but ofter coulations involved in the criminal justice system and promote improved life outcomes through their programsoccurred more frequently than before leading to more even in a moment of national public health crisis. meaningful conversation The transition to virtual court appearances produces some unexpected results. Some drug court teams found

reatment court staff described a range of important that participants were more talkative and open with the reasures they took to improve their ability to serve judge about their lives and struggles when not in the ope participants and maintain program success remotely at a courtroom. Some participants reported feeling a stronger time of severely limited access. These included efforts to connection with the judge and were less overwhelmed by ransition to teleservices, expand access to technology, he atmosphere of the courtroom, leading them to speal enhance recovery supports virtually, adjust drug testing more freely and reimagine incentives and sanctions.

xpanding access to technology. Communication with Transitioning to teleservices. Treatment court teams treatment court participants was a challenge in some and providers around the country used phone, email. areas due to a lack of technology or wireless internet text, and virtual platforms to conduct remote treatment, access. To remedy this problem, the New Hampshire ment, court sessions, clinical assessments judicial branch used general state funding to purchase



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technologies like videoconferencing, smartphone apps, portable drug testing devices, and many others to deliver treatment services, supervise clients, and train staff, Collectively, these innovative uses of technology are known as eleservices." In 2015, the Center for Court Innovation (the Center) published "The Future is Now: Enhancing Drug Court Operations Through Technology," a practitioner monograph that explores emerging uses of technology and highlights some of the early teleservices initiatives in problem-solving courts. The following year, the Center assisted four jurisdictions in planning and implementing pilot teleservices projects. This document offers an overview of the pilot projects, highlights promising practices, and offers recommendations for implementing teleservices initiatives in other jurisdictions

probation, and treatment providers. The team planned a

court appearances, and supervision. In addition.

new teleservices track that allows for remote treatment,

nseling sessions by video as well. There is even a



process included a questionnaire for assessing potentia ticipants' "technology readiness. Today, CAMO uses Montana's statewide Polycon conference system to facilitate remote participation When a defendant from another county wishes to be considered for CAMO, the court coordinator administers

in the United States. But the state also has one of the the court uses videoconferencing to conduct regula status hearings, and participants engage in one-on-one Polycom app that allows participants to connect to the court and counselors using their phones. The project The Center helped to kick off CAMO's ambitiou







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#### 10 Principles for Humane Justice Technology

As the use of technology in the justice system becomes more common, it is important that those designing and implementing new systems adhere to principles that support human dignity and advance best practices. These principles were developed by the Center for Court Innovation in collaboration with the Open Society Foundation and Blue Ridge Labs at the Robin Hood Foundation

1. Technology should be humane first Technology should consider the people using it and its impact on them Make technology comfortable, minimallyintrusive, and non-stigmatizing

· To promote true accessibility, implementors

and access to technology, such as phones,

moment in their lives. Their consent must be

truly informed. A consent form must be easy to

understand so that individuals know what the are agreeing to, how it will impact their lives,

and how their data will be shared and stored.

computers, and the internet.

should consider different languages; abilities levels of technical and language literacy;

technology.

 Explain technology to court-involved users using simple language-ideally in multiple formats-detailing the impact it will have on their lives. 4. Problems should drive technolog

 Ensure technology adds to quality of life rather than diminishes it. Technology should solve problems-rather than be a solution in search of a problem. It is impor To the extent possible, use technology to tant to first assess the needs of an organization reinforce positive behavior rather than punish team, and potential individual users and then

ind technologies that help meet these needs 2. Technology should be inclu Include all stakeholders and roles in defining Technology should be used to enhance systems not deepen existing inequality and implementing technology.

 Define specific objectives before selecting or Defendants should not be charged to use

 Define what success looks like for each stakeholder Use technology to facilitate and strengther ships and processes rather that

replacing them. 5 Lass is more

3. Technology should be implen Technology should achieve its objectives in the true consent Court-involved individuals are at a vulnerable simplest and least intrusive way possible.

 Make technology flexible and cuttomizable to that users are given and asked to do only what s necessary for their circu Collect only the data required to achieve the end goal-too much data is both efficient and raises ethical concerns

Principles-humane-

justice-technology



#### **Treatment Courts and** COVID 19

**Teleservices: Happening** Now

the team developed a remote screening and referral process for accepting cases from other counties. This a comprehensive risk-need assessment via video. ontana has one of the largest veteran populations andants also have the opportunity to observe court proceedings remotely before deciding to enter CAMO. Once a defendant has been accepted into the program,

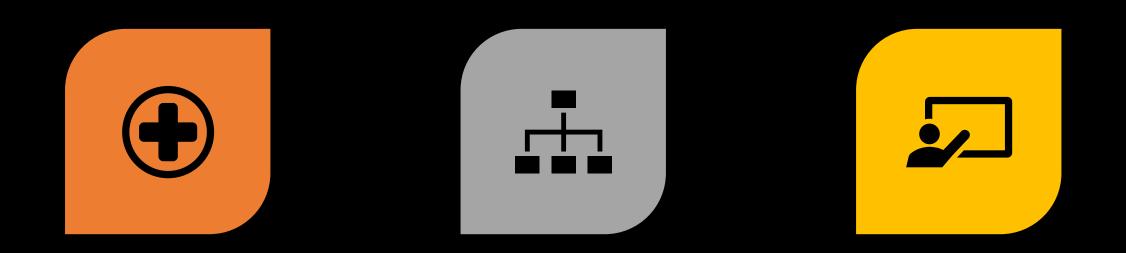
lowest population densities in the country, so these veterans tend to be spread across great distances and often are not within reach of needed services. This geographic isolation poses a challenge to the Yellow County Veterans Court-also known as CAMO (Court Assisting Military Officers)-which is one of only three veterans treatment courts in Montana. CAMO sought to use technology to reach more justice-involved veterans who live in isolated parts of the state.

nilot project by facilitating a two-day planning workshop The CAMO team included the judge, a veterans justice utreach officer, a community outreach worker, and representatives from the prosecutor's office, defense bar

- Court

has been so successful that CAMO has purchased an additional Polycom unit to begin Moral Reconation Therapy (MRT) classes and statewide mentor training. To enhance supervision of remote parti CAMO uses the CheckBAC smartphone app to monitor alcohol use and track participants' location. The app tifies participants when they are required to submi

#### Teleservices = Using Technology for...



#### TREATMENT

SUPERVISION & MONITORING

TRAINING





Almost everything will work again if you unpluç it for a few minutes... Including you.

Anne Lamot







## Self-care

## Overview



10 principles for humane justice technology



Benefits and Opportunities



Enhancing Best Practice Standards through Teleservices

 $\checkmark$ 

Considerations and assessment of organizational and client readiness



Participant feedback/research

#### The 10 Principles of Humane Justice Technology

10 Principles for Humane Justice Technology 1) Technology should be humane first

2) Technology should be inclusive

3) Technology should be implemented with true consent

4) Problems should drive technology

5) Less is more

10 Principles for Humane Justice Technology 6) Technology should be sustainable

7) Work with vendors with a common vision

8) Start small

9) Know what technology does and doesn't do

10) Be prepared to pivot

#### **Benefits and Opportunities**

# Benefits we know





**Overcomes treatment barriers** 



Expands the arsenal of available services and specialties



Can alleviate strain on provider caseloads



Saves travel time and money



Can be used as an incentive and phase advancement



Not a replacement for current practices/interventions; rather an enhancer for service gaps

### **Teleservices opportunities**



In alignment with state and local directives, use technology for:

- Remote court appearances
- Individual clinical check ins
- Staffing participation with treatment providers
- Evidence-based interventions
- Screening/assessment to treatment
- Monitoring/compliance
- MOUD
- Connection with peers/alumni
- Part of aftercare plan
- Staff and multi-disciplinary training

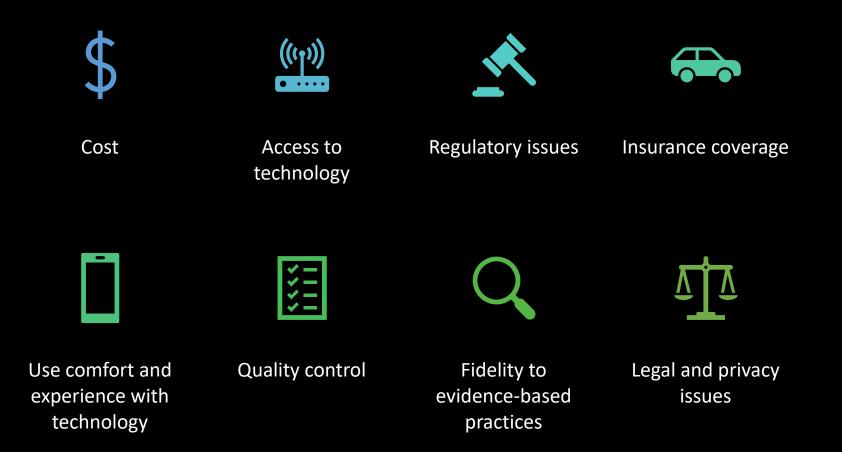
## Long-term planning

- Use screening tools to assess appropriateness
- Create written protocols for all remote procedures
- Legal and privacy issues
- Consent
- Expectations
- Guidebook/instructions for participants
- Engage more frequently, incentivize
- Flexibility!

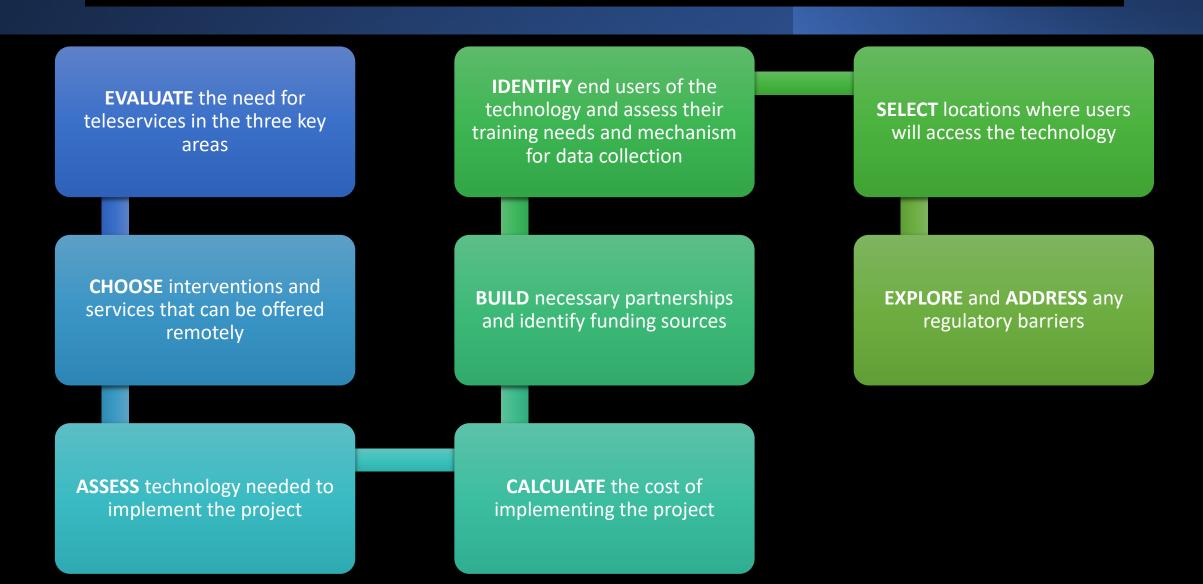


#### **Considerations for Teleservices Planning**

#### **Potential barriers**



#### Recommendations



#### Enhancing Best Practice Standards through Teleservices

Standard I: Target Population

- Organizational /agency readiness
- Client readiness
- Identify technology needs and barriers



## Assessing Client Readiness

- Develop a client readiness assessment
  - Identify client interest and need
    - Technological proficiency
      - Equipment accessibility
        - Internet or broadband accessibility
          - Privacy accessibility
      - Expectations/etiquette



## Teleservices Assessment

- How comfortable are you with technology?
- Do you live alone?
- Do you know someone who could assist with technology needs?
- Are you comfortable with the internet?
- Would your employment need to be modified if you enter the court?
- Do you travel for work?
- What is your work schedule? Breaks/Lunch hour?
- Is there a quiet place you could call from work, if necessary?
- Do you know how to take a picture with your phone and email/ text the image?
- Do you know how to play a video?
- Can you join a meeting on the internet?

## Reassess Client Engagement

- Treatment needs
- Treatment progress
- Access to technological equipment
- Access to WIFI/broadband
- Privacy and confidentiality concerns
- Survey clients for opportunity to improve services





## Tips for Participants

#### - Plan Ahead

- Considerations for space/people around you
- Test the platform in advance
- Show up and connect
- Progress, not perfection!

## Standard II: Equity and Inclusion

Teleservices increase access to care, which in turn reduces health disparities

Systems of care must be designed with input, involvement, and guidance from the clients we serve

Reach underserved groups.

Consider race, gender, sexual orientation, distance from court.

#### Standard III: Roles and Responsibilities of the Judge

- Consent
- Virtual engagement
- Legal/confidentiality issues
- Engage more frequently
- Increased access to individuals in residential treatment



Standard IV: Incentives, Sanctions and Therapeutic Adjustments

- Increased opportunity for monitoring remotely can reduce need for sanctions
- Programs reported participants more forthcoming with use when jail was not imposed
- Incentivize/reward

#### TREATMENT COURTS AND COVID-19

Beginning in early 2020, the COVID-19 pandemic spread across the United States, upending communities, economies, institutions, and the daily life of millions. Among the most vulnerable were people involved in the criminal justice system, especially those experiencing from mental illness and substance use disorders, who faced the ripple effects of the

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pandemic as courts abruptly shut down and the virus spread through crowded jails and prisons. Treatment courts were directly affected by these shutdowns. Court shutdowns and stay-at-home orders made it challenging for drug courts to adhere to best practices such as regular court appearances, referrals to treatment and other social services, and frequent drug and alcohol testing <sup>1</sup> In the face of these limitations, many courts across the country acted quickly with innovative solutions to meet participants' needs amid new and ever-changing restrictions and court closures.

To support these solutions, the Center for Court Innovation's technical assistance team provided a series of weekly webinars and facilitated remote discussion groups between statewide treatment court administrators. During these sessions. Center staff heard inspiring stories from around the country about courts that mobilized quickly and found creative ways to connect with, support, and engage participants remotely. The Center also conducted a national survey of unique strategies that treatment courts implemented to meet the challenges of the pandemic and received 24 submissions from 14 states.

This document highlights some of those efforts. The purpose is to provide a set of themes and lessons on how courts are adapting to better serve the vulnerable populations involved in the criminal justice system and promote improved life outcomes through their programs even in a moment of national public health crisis.

#### OVERALL THEMES

Treatment court staff described a range of important measures they took to improve their ability to serve participants and maintain program success remotely at a time of severely limited access. These included efforts to transition to teleservices, expand access to technology, enhance recovery supports virtually, adjust drug testing, and reimagine incentives and sanctions.

Transitioning to teleservices. Treatment court teams and providers around the country used phone, email, text, and virtual platforms to conduct remote treatment, case management, court sessions, clinical assessments, staff meetings, staff trainings, graduation, medication counts, and supervision. Numerous courts said that communication between participants, staff, and peers not only continued during the COVID-19 pandemic but often occurred more frequently than before, leading to more meaningful conversations.

The transition to virtual court appearances produced some unexpected results. Some drug court teams found that participants were more talkative and open with the judge about their lives and struggles when not in the open courtroom. Some participants reported feeling a stronger connection with the judge and were less overwhelmed by the atmosphere of the courtroom, leading them to speak more freely.

Expanding access to technology. Communication with treatment court participants was a challenge in some areas due to a lack of technology or wireless internet access. To remedy this problem, the New Hampshire judicial branch used general state funding to purchase

Center for Court Innovation

520 Eighth Avenue New York, NY 10018 p. 646.386.3100 f. 212.397.0985 courtinnovation.org For more information about treatment courts and available training and technical assistance, contact us at info@courtinnovation.org.

#### Standard V: Substance Use Disorder Treatment

- Screening and Assessment
  - MOUD
- Clinical case management
  - CBT4CBT



## Standard VI: Complementary Treatment and Social Services

- Numerous options for connection to recovery services
  - Clinical case management sessions
    - Crisis intervention
      - Recovery apps
    - Online networks
  - Connections with peers and alumni

## Standard VII: Drug and Alcohol Testing

- Adjusting drug testing
- Video supervision
- Reporting virtually

each assignmen waived per coupon
coupon
waived per

lame: _		Week	
	Next virt	ual court date:	
Supervis	ion (check if attended	)	
Offic	er:	Day/ <u>Time:</u>	
Infor	mation Learned:		
Treatme	nt Groups (check i	f attended)	
Cour	selor:	Day/Time:	-
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	Away:		
		Day/Time:	
How did	I meet my goal?		

## Standard VIII: Multidisciplinary Team

- Staffings
- Operational Meetings
- Court staff connecting on video with treatment providers
- Opportunity to have a physician/nurse more actively involved

### Standard IX: Census and Caseloads

- Better manage caseloads
- Establish hub treatment courts:
- Identify counties without a treatment court and ones that are at maximum capacity and work with them on a referral process to the nearest appropriate treatment court.



## Standard X: Monitoring and Evaluation

- Technology helps to coordinate data collection and analysis
- Measure and evaluate outcomes and effectiveness for teleservices participants
  - Create teleservices performance measures
- Provide training and guidance on data collection and how to use performance measures for program improvement

## Sustainability: Monitoring and Evaluation

- Keep data.
- Measure virtual track against in-person track.
- Develop comparison group.
- Conduct focus groups of teleservices participants.
- Administer graduation survey.
- Check for need to modify participant program frequently.

"Drug Courts are approximately 65% more cost effective when they enter standardized information concerning their services and outcomes into an Electronic Management System (MIS) which is capable of generating automated summary reports" (Source: Carey et al., 2008, 2012) Monitoring and Evaluation: Data Elements to Consider

- Referral cohort
- Motivation scale
- Distance from court
- Number of days in program/ Length of stay
- Number of days in phases (advancing at same rate as in-person track?)
- Number of treatment sessions and hours
- Sobriety days
- Number of drug and alcohol tests submitted
- Test results

- Retention rate
- Recidivism
- Impressions of connection to recovery community
- Pro-social outcomes compared to in-person track participants
- Probation contacts



#### Pros

- I couldn't have engaged in person before; it takes the bus 2 hours to get there
- Being able to video from my home means I can be with my family more
- It's great to not spend so much time commuting
- Email is impersonal, but now with video I still feel like I'm connecting directly with you
- I like the options, video isn't for me, but being able to use call and messaging is important
- Having flexible appointments means everything. I work 12-hour shifts

Robert D. Ashford, PhD, MSW, Exec Director <u>@UnityRCO</u> | VP Recovery Services <u>@weconnect\_now</u>, Founder <u>@myrecoverylink</u>

#### Cons

- Sometimes I feel like I'm competing for attention with all the things on the screen
- I feel like I'm missing out on community sometimes. It's great to connect, but I want to see people in person
- Sometimes I run out data and it can be tough
- The internet company in my area doesn't offer enough speed for a price I can afford
- How do I know I can trust someone I've never met



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#### National surveys

#### **Adoption of Virtual** Services in **Judicially Led** Diversion Programs Preliminary Survey Findings

February 2021

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#### Adoption of Virtual Services in **Judicially Led Programs**



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#### Virtual-Services-in-Judicially-Led-**Diversion-Programs-Participant-Findings**



#### TREATMENT COURTS AND COVID-19 **ADAPTING OPERATIONS**



NDC







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#### **Adapting Operations**

## Literature Review

Court Appearances in Criminal Proceedings Through Telepresence Camille Gourdet et al Report from NIJ's Priority Criminal Justice Needs Initiative (2020)

## The Impact of Video Proceedings on Fairness and Access to Justice in Court

Alicia Bannon & Janna Adelstein Report from the Brennan Center for Justice (2020)

#### **Remote Criminal Justice**

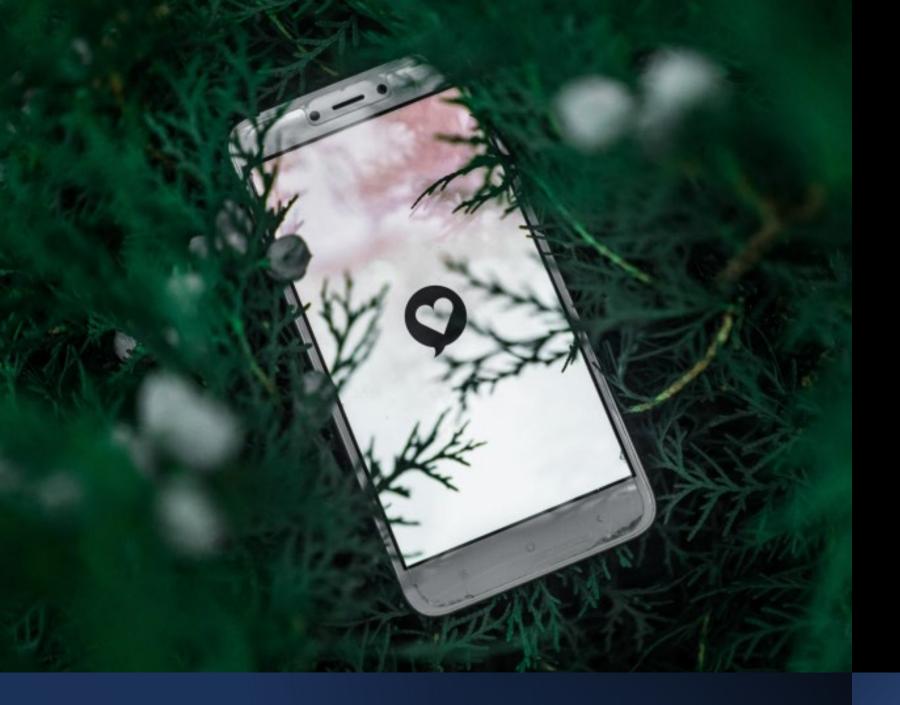
Jenia I. Turner Article in Texas Tech Law Review (2020)

How Video Changes the Conversation: Social Science Research on Communication Over Video and Implications for the Criminal Courtroom

Lisa Bailey Vavonese et al. (2020)



# Virtual supports



Stay connected: Supports for SUD

In the rooms

**SMART Recovery** 

Unity Recovery

Medication-Assisted Recovery Anonymous (MARA)

# Group Discussion

What teleservices are you still using at your court? Treatment?

What lessons have you learned since 2020?

What challenges are you having with implementation or buy-in?

## Questions

Center for Court Innovation

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