

Level of Service/Case Management Inventory Refresher Workshop

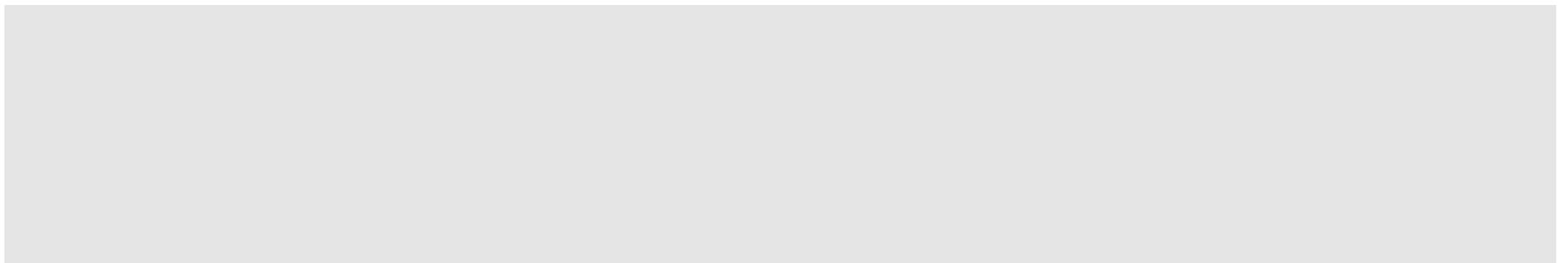
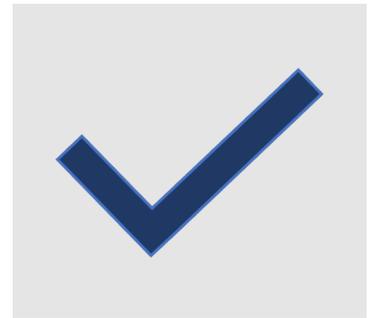
Presented by
Debi Koetzle, PhD
John Jay College of Criminal Justice
dkoetzle@jjay.cuny.edu



Objectives

- ✓ Review importance of the principles of effective classification
- ✓ Review common scoring questions and challenges
- ✓ Enhance skills and knowledge necessary to administer the LS/CMI

Risk, Need, and Responsivity



The RNR Framework

Risk

Who to target for
intervention

Need

What to target for
intervention

Responsivity

How to target
behaviors and
thoughts for
change

Risk Principle:
Match Level Service to
Level of Risk



Central Eight Risk Factors

- Antisocial attitudes
- Antisocial peers
- Antisocial personality
- History of antisocial behavior
- Education/employment
- Substance abuse
- Family
- Leisure/recreation

What Happens if We Violate the Risk Principle?

**Sarah is a 22-year old woman who was arrested for shoplifting.
This is her first police contact.**

Sarah is assessed as low risk.
What happens if she is sent to residential treatment? Put on intensive supervision? What happens to her protective factors?



**Will Sarah Remain
Low Risk?**

Need Principle

We can reduce the likelihood
of recidivism

by *assessing* and *targeting*
criminogenic needs

Interventions must be very **focused**





Criminogenic

Problem-solving

Decision-making

Anger management

Substance abuse treatment

Family functioning

Reducing criminal thinking



Non-Criminogenic

Vague or emotional problems

Physical activity

Fear of official punishment

Creativity

Mental health

Appreciation of nature

Criminogenic Needs Reflect Dynamic Risk

Responsivity

People respond differently to treatment strategies and correctional environments

“Barriers” to treatment

Assessing responsivity is important to maximize benefits of treatment

Specific

Matching to program and interventions

Remove individual barriers to interventions

General

Behavioral and cognitive-behavioral techniques

Core correctional practices

Social learning techniques

Specific Responsivity

Internal Factors

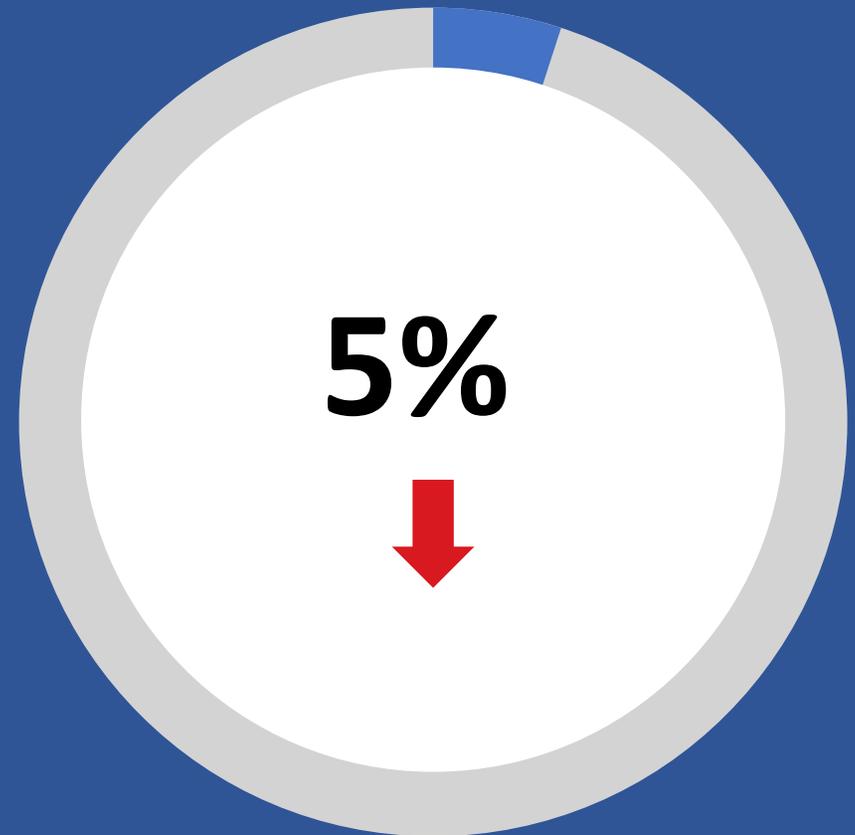
- Trauma experience
- Cognitive abilities
- Race/culture
- Age
- Personality
- Motivation

External Factors

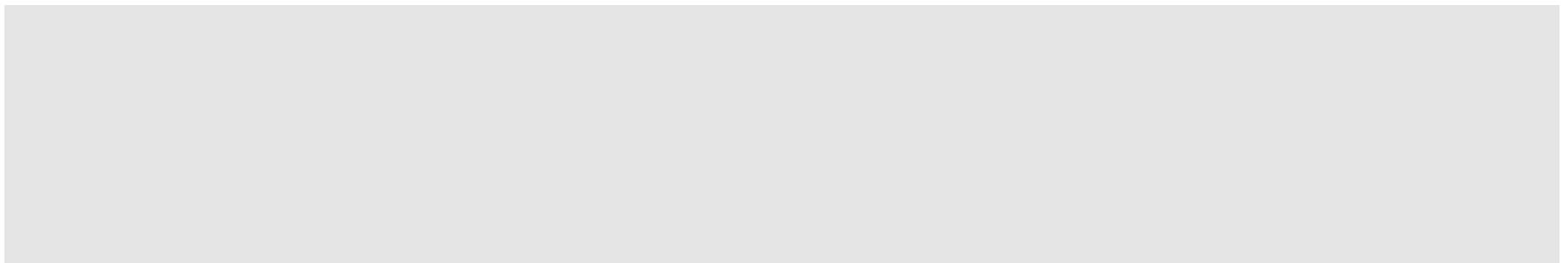
- Correctional setting
- Transportation
- Homelessness
- Child care
- Facilitator characteristics
- Gang affiliation

Professional Discretion

- Also known as override
- Consider risk, need, and responsivity
- Determine if placements dictated by assessment are the most appropriate
- With general caseloads, overrides should occur 5% of the time or less



Review of the Instrument



Review of the Instrument



Scoring

Yes-No Items

Yes = 1 pt. Risk

No = 0 pts. Risk

Rater Items

3 = 0 pts. Risk

2 = 0 pts. Risk

1 = 1 pt. Risk

0 = 1 pt. Risk

3 = SATISFACTORY situation, no need for improvement

2 = RELATIVELY SATISFACTORY, some room for improvement

1 = RELATIVELY UNSATISFACTORY, need for improvement

0 = VERY UNSATISFACTORY, strong need for improvement

Strength and Protective Factors

Partial representation of LS/CMI™ scoring form, Section 1

LS/CMI Section 1 Total Score

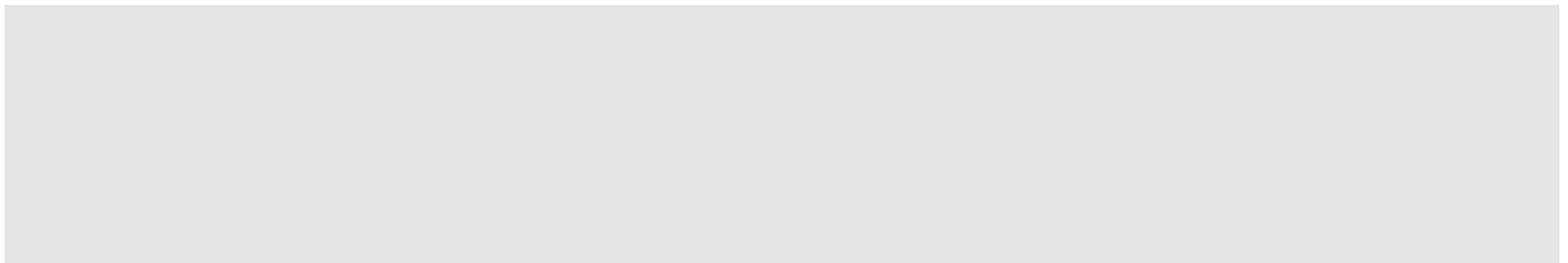
A + B =

Scale Acronyms

CO = Companions
 LR = Leisure/Recreation
 ADP = Alcohol/Drug Problem
 AP = Antisocial Pattern
 PA = Procriminal Attitude/Orientation
 CH = Criminal History
 EE = Education/Employment
 FM = Family/Marital

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Review of the
Instrument:
Common Scoring Issues



Criminal History

- Prior youth dispositions or adult convictions
 - Do not count diversion
 - Count points in time, not number of charges
- Present offenses:
 - Focus on number of charges
 - Do not count outstanding charges
- Ever incarcerated upon conviction
 - Do not count pretrial detention or house arrest
 - Includes youth and adult incarcerations



Criminal History

Ever punished for institutional misconduct or a behavioral report

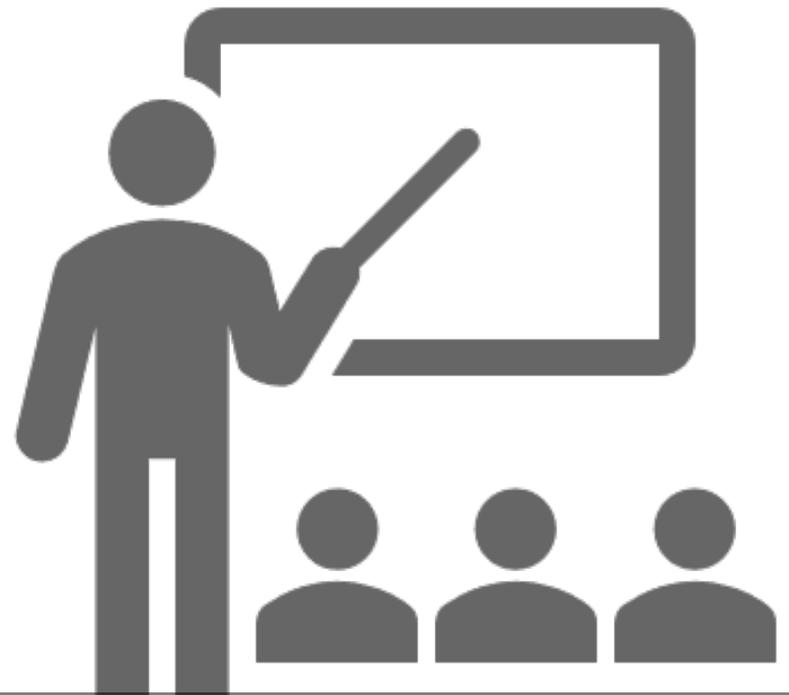
- Must be a finding of guilt and sanction

Charge laid or probation/parole suspended during prior community supervision

- Community supervision includes probation, bail, community service, parole

Education and Employment

- Employment
 - Legitimate employment
 - Paid training programs that include a work component
 - Consider available work hours
 - "2-year" rule
- Currently unemployed
 - Students, retirees, homemakers, or seasonal workers should be scored no



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Education and Employment

- Frequently unemployed
 - 50% unemployed in the last 12 months
 - Seasonal workers: do they return to same job?
- Never employed full year
 - Include individuals who frequently switch jobs with unemployment in between

Education and Employment

- Education items are lifetime items
 - Consider age when assessing less than grade 10 and less than grade 12
 - Include alternative programs, equivalency programs, and correspondence programs recognized by government

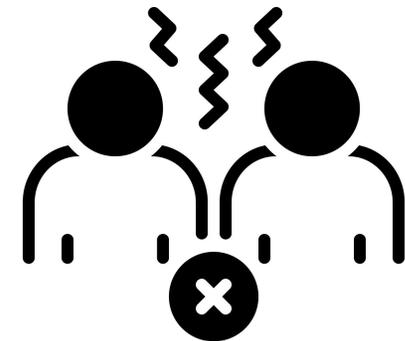


Education and Employment

- Rating Items
 - Participation/Performance
 - Peer Interactions
 - Authority interactions
- Ratings
 - Risk (0-1) vs no risk (2-3)
 - Unemployed = 0 on all 3 items
 - Not working outside home = score item 15, omit items 16-17
 - “2-year” rule for incarcerated individuals

Family and Marital

- Dissatisfaction with marital/equivalent situation
 - Individuals' perspective
 - Rate violent relationships as 0
- Non-rewarding relationships
 - Consider type of support and contact provided
 - Parental relationship = 0 if both parents are deceased
- Criminal family/spouse
 - Consider extent of influence
 - Timeframe: Ever



Leisure and Recreation

- Points to keep in mind
 - Determine extent of involvement and type of activities
 - How does activity relate to risk?
- Absence of recent participation in an organized activity
 - “2-year” rule
- Could make better use of time



Companions

- Points to keep in mind
 - Acquaintances/casual friends vs. close friends
 - “Some” can equal one; consider the degree of influence on behavior



Companions

- All incarcerated individuals should be scored YES on some criminal acquaintances
- No/few anti-criminal friends/acquaintances:
 - A lack of positive friends or acquaintances
- Use "2-year rule" for *some criminal friends*



Alcohol and Drug Problems



- Points to keep in mind
 - Include illicit use of prescription drugs
 - Use, not offenses
- Current drug or alcohol problems
 - Past year
 - Modified 2-year rule
 - Less than 2 years, consider 12 months prior to incarceration + incarceration
 - More than 2 years, only consider incarceration



Alcohol and Drug Problems

- Items 32-35 based on *current use*
- Law violations
 - Use contributes or could contribute to criminal behavior
- Martial/Family
 - Use contributes to problems/conflict/complaints

Alcohol and Drug Problems

- School/Work
 - Use interferes with school or work
- Medical or other clinical indicators?
 - Physical complaints, medical advice against drinking, financial difficulties, using to avoid withdrawal, blackouts



Pro-Criminal Attitude/Orientation

- Supportive of crime
 - Listen for attitudes, justifications, and excuses throughout entire interview
 - Benefits of crime and feelings about others
- Unfavorable towards convention
 - Convention: alternative to crime
 - Attitudes towards work, school, family and prosocial others

Antisocial Pattern

Specialized assessment for antisocial pattern

- Completed assessment with finding
- Documented need for assessment

Early and diverse antisocial behavior

- Problems or arrest under age 16 (#5)
PLUS
- Official record of assault/violence OR
- Escape history from correctional facility OR
- Charge laid, probation breached, or parole suspended (#8)

Antisocial Pattern

- Criminal attitude
 - Based on prior ratings
 - Score Yes if
 - Supportive of crime (item 36) = 0 or 1 OR
 - Unfavorable towards convention (item 37) = 0 or 1 OR
 - Poor, towards supervision/treatment (item 39) = yes

Antisocial Pattern

Pattern of Generalized Trouble

Four+ risk factors →

Financial problems

3 or more address changes (excluding incarceration)

Never employed for full year (item 11)

Less than regular grade 10 or equivalent (item 12)

Suspended or expelled at least once (item 14)

Nonrewarding, parental (item 19)

Could make better use of time (item 23)

Few anticriminal friends (item 27)

Case Planning

A decorative graphic consisting of a large dark gray rectangle on the left containing the text 'Case Planning'. To its right are two stacked rectangles: a dark blue one on top and a medium gray one on the bottom. Below these elements is a wide, light gray horizontal bar.

What is assessment-driven case planning?

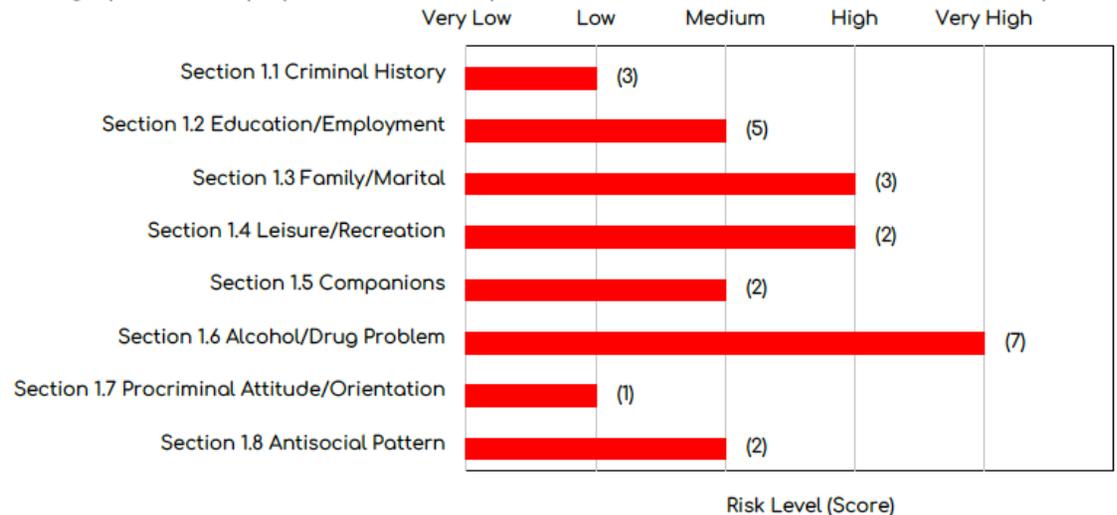
- Assess risk
- Prioritize intensive services to medium/high risk youth
- Develop goals based on criminogenic needs
- Remove barriers (responsivity)
- Provide ongoing reassessment

Summary of Results

What area
should be
prioritized for
intervention?

Assessment of General Risk/Need Factors

The graph below displays Paul Peter Principle's risk level for each General Risk/Need subcomponent



Case Plan Development

Link case plan and interventions to
assessment

Match targeted needs to effective
strategies

Leave low-risk areas alone

Individualize

Case Plan Development

NEEDS/PROBLEMS

Based on assessment

GOALS

Longer term outcomes –
where should client be
after interventions?

Case Plan Development

OBJECTIVES

- Client's short-term measurable and verifiable steps to reach goal
- Objectives should be stated in SMART terms

SMART Objectives

Specific

Measurable

Achievable

Relevant

Time-based

TECHNIQUES

**Your actions
to help clients
reach longer
term goal**

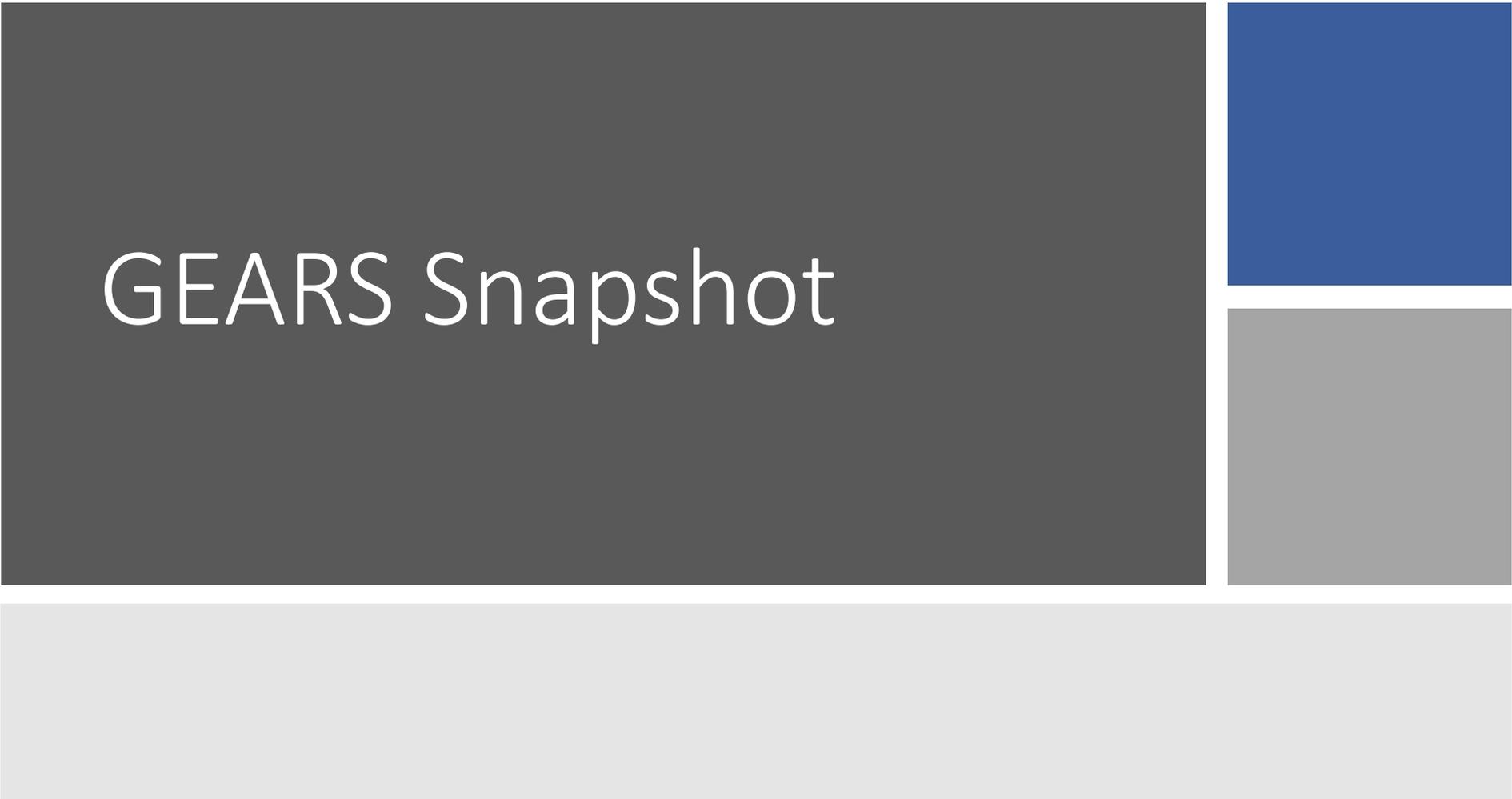
Three areas to be
considered:

1. Supervision techniques
2. Referrals
3. Face to face contact

Example – Substance Abuse

| Problem | Goal | Objectives | Techniques |
|------------------|--|---|--|
| Use of Marijuana | Eliminates use of marijuana | Participates in substance abuse treatment | Refer for substance abuse assessment |
| | | List benefits of participating in and cons for not complying with treatment | Cost-benefit analysis |
| | | Attend and participate in all substance abuse treatment group activities | Refer for services/Monitor with drug screens/Updates from tx provider and youth. |
| | Improve coping skills to deal effectively with problems. | Identify high risk situations/ thoughts/feelings/behaviors for substance use. | Functional analysis |
| | | Attend and participate in all skill building treatment group activities. | Refer for services/Monitor for participation/Updates from tx provider and youth. |

GEARS Snapshot



Debi Koetzle

MHS Training Account

Management

Activity Reports

Aggregate Reports (Evaluations)

Aggregate Reports (Users)

Caseload

Evaluation Requests

Evaluations

Institution

Invitations

Location Setup

Offense Classifications

Policies

Reminders

Report Templates

Tools



Total Clients

56



Total Evaluations

143



Users

24/∞



Outstanding Evaluations

64



Institution Evaluation Requests

0

Go to Evaluation R...

View Caseload

View Assessments

Get Help!

Home Page

Caseload Page

Create a new client

Debi Koetzle > [Notification] [Calendar] [Globe] [Feedback] [Help]

Search... [Search Icon]

[+ CREATE](#) [+ BULK IMPORTER](#)

- [Edit](#)
- [Evaluations](#)
- [Create Expunge Request](#)

| Name ▾ | Client ID ▾ | Institution ▾ | Location ▾ | Date of Birth ▾ | Country ▾ | Options | Profile |
|----------------|-------------|----------------------|------------|-----------------|-----------|-------------|---------------|
| Brown-1, Jack | 377020071 | MHS Training Account | Juvenile | 12/19/2007 | BS | [Gear Icon] | [Person Icon] |
| Brown-10, Jack | 3770200710 | MHS Training Account | Juvenile | 12/19/2007 | BS | [Gear Icon] | [Person Icon] |
| Brown-2, Jack | 377020072 | MHS Training Account | Juvenile | 12/19/2007 | BS | [Gear Icon] | [Person Icon] |
| Brown-3, Jack | 377020073 | MHS Training Account | Juvenile | 12/19/2007 | BS | [Gear Icon] | [Person Icon] |

Edit client details OR view assessments

View client profile

Search... REFRESH EVALUATIONS LIST + CREATE EVALUATION REQUEST

+ CREATE EVALUATION

| Assigned Date | Completed Date | Client ID | Client Name | Evaluator | Status | Tool | Due Date | Options |
|------------------------|------------------------|-----------|-----------------------|------------------|-----------|--------|------------|---------|
| 07/08/2022 11:26 AM | 07/08/2022 12:05 PM | 1234 | smith, john | Young, Lynden | Completed | LS/CMI | -- | |
| 08/28/2022 08:24 PM | 08/28/2022 08:54 PM | 3770501 | Principle, Paul Peter | Gauvin, Caroline | Completed | LS/CMI | -- | |
| 09/08/2022 12:44 PM | 09/08/2022 01:28 PM | 37702003 | Brown, Frank | King, Angelo | Completed | LS/CMI | 09/09/2022 | |
| 09/08/2022 12:44 PM | 09/08/2022 01:28 PM | 37702003 | Brown, Frank | Saunders, Sonia | Completed | | | |

Enter New Assessment

Evaluation Page

Profile Page

Debi Koetzie >

NEW REMINDER NEW EVALUATION NEW COMPARATIVE REPORT CASE PLAN REVIEW EDIT CLIENT

EVALUATIONS

Frank Brown

Client ID - 124650ls

General

Date of Birth 02/14/1980

Age 43

Sex Male

Gender Identity

Race/Ethnicity White

Most Recent Evaluation(s)

Tool | Date Score | Risk Category

LS/CMI | 12/01/2022 18 | Medium

Profile Page

Debi Koetzle > [notification] [calendar] [globe] [refresh] [help]

[NEW REMINDER](#) [NEW EVALUATION](#) [NEW COMPARATIVE REPORT](#) [CASE PLAN REVIEW](#) [EDIT CLIENT](#)

[EVALUATIONS](#)

Frank Brown
Client ID - 124650ls

General
Date of Birth: 02/14/1980
Age: 43
Sex: Male
Gender Identity: White
Race/Ethnicity: White

Most Recent Evaluation(s)
Tool / Date | *Score / Risk Category*
LS/CMI | 12/01/2022 | 18 | Medium

Recent Evaluations | Case Plans | Details | LS/CMI Comparative Report | YLS/CMI Comparative Report

| Assigned Date | Completed Date | Tool | Evaluator | Status | Actions |
|---------------------|---------------------|--------|-----------------|-----------|---------|
| 12/01/2022 02:29 PM | 12/01/2022 03:13 PM | LS/CMI | ...ers, Levette | Completed | [gear] |

Showing 1 of 1 entries.

[<] [1] [>]

View Case Plan (points to Case Plans tab)

View Report (points to Most Recent Evaluation(s) section)

View Assessment (points to the table entry)

Reassess (points to Reassessment option in the actions menu)

- View
- Generate Case Plan
- Generate Report
- Continue
- Reassessment
- Manage Media
- Delete



Frank Brown

Client ID - 124650dk

General

| | |
|-----------------|------------|
| Date of Birth | 02/14/1980 |
| Age | 43 |
| Sex | Male |
| Gender Identity | |
| Race/Ethnicity | White |

Most Recent Evaluation(s)

| | |
|---------------------|------------------------------|
| <i>Tool / Date</i> | <i>Score / Risk Category</i> |
| LS/CMI 12/01/2022 | 18 Medium |

Recent Evaluations
Case Plans
Details
LS/CMI Comparative Report
YLS/CMI Comparative Report

GENERATE NEW CASE PLAN

Current Case Plan

GENERATE REPORT
SAVE/SUBMIT

LS/CMI CASE MANAGEMENT PLAN for Frank Brown

8
9

8.1 Administrative Summary

8.1 Administrative Summary

+ ADD ITEM

| Date of Conviction | Risk Level (Part 6) | Reporting Requirement (Community Offenders) | Reporting Requirement (Custodial/Residential Other) | Security Setting (Custodial/Residential for Inmates) | Security Setting (Other) | Actions |
|--------------------|---------------------|---|---|--|--------------------------|--|
| | Medium | Bi-weekly | | | | 📄 🗑️ |

First < 1 > Last

Items per page

Case Plan Page

8.2 Program Targets and Intervention Plan

8.2.1 Crimonogenic Needs (Rank Order)

+ ADD ITEM

| Criminogenic Needs | Risk Level Goal | Intervention | Time Frame | Intensity Date | Actions |
|-----------------------|-----------------|--|---------------------------------|----------------------------|--|
| Alcohol/ Drug Problem | High | Reduce consumption. | Counselling NO PROGRAM FOUND | 3 months weekly 12/01/2022 | 📄 🗑️ |
| Family/ Marital | High | Improve or remove self from marital situation. | Family Therapy Family therapy | 6 months weekly 12/01/2022 | 📄 🗑️ |

First < 1 > Last

Items per page

8.2.1 Crimonogenic Needs (Rank Order) - Progress Record

+ ADD ITEM

| Date | Change | Noteworthy Developments | Actions |
|-----------------------|--------|-------------------------|---------|
| Alcohol/ Drug Problem | | | |
| Family/ Marital | | | |

No Items in Table

+ ADD ITEM

First < 1 > Last

Items per page

8.2.2 Other Needs

+ ADD ITEM

| Other Needs | Goal | Intervention | Time Frame | Intensity Date | Actions |
|---------------------------|-------------------------|---|---------------------|----------------|--|
| Victim of family violence | Accept personal history | Victim counseling - may include adult children of alcoholics and grief counseling | 12 months bi-weekly | 12/01/2022 | 📄 🗑️ |

First < 1 > Last

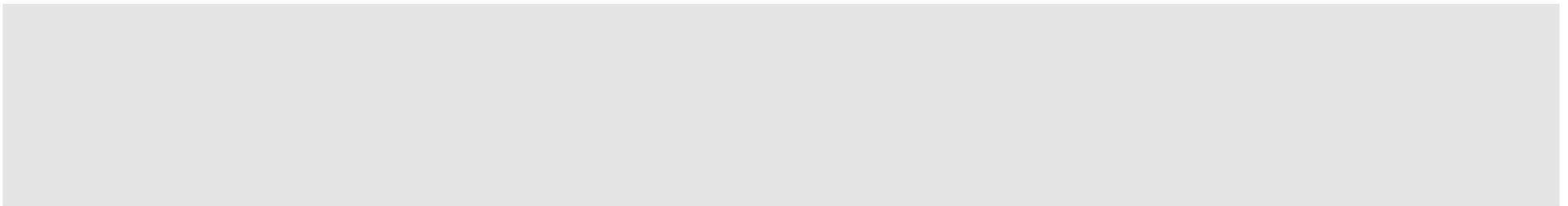
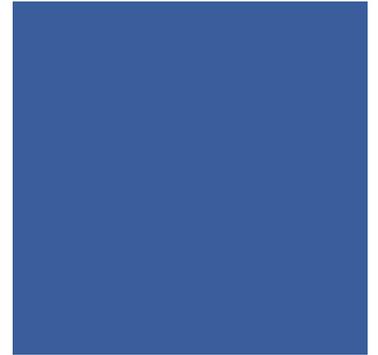
Items per page

8.2.2 Other Needs - Progress Record

+ ADD ITEM

| Date | Change | Noteworthy Developments | Actions |
|------|--------|-------------------------|---------|
|------|--------|-------------------------|---------|

Final Points to Remember



Using Assessment Results

Target higher-risk individuals

Focus on criminogenic needs

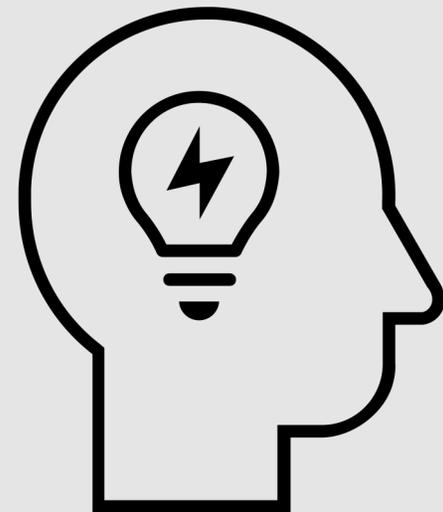
Plan for responsiveness

Use SMART goals/objectives

Reassess for change

Assessments & Decision-Making

- Standardized assessments
 - More reliable
 - Less time consuming
 - Less expensive
- Instruments give guidance and information
- People make decisions



Questions?



dkoetzle@jjay.cuny.edu
www.deborahkoetzle.com

