

Level of Service/Case Management Inventory Refresher Workshop

Presented by
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Objectives

- ✓ Review importance of the principles of effective classification
- ✓ Review common scoring questions and challenges
- ✓ Enhance skills and knowledge necessary to administer the LS/CMI

Risk, Need, and Responsivity



The RNR Framework

Risk

Who to target for
intervention

Need

What to target for
intervention

Responsivity

How to target
behaviors and
thoughts for
change

Risk Principle:
Match Level Service to
Level of Risk



Central Eight Risk Factors

- Antisocial attitudes
- Antisocial peers
- Antisocial personality
- History of antisocial behavior
- Education/employment
- Substance abuse
- Family
- Leisure/recreation

What Happens if We Violate the Risk Principle?

**Sarah is a 22-year old woman who was arrested for shoplifting.
This is her first police contact.**

Sarah is assessed as low risk.
What happens if she is sent to
residential treatment? Put on
intensive supervision? What
happens to her protective
factors?



**Will Sarah Remain
Low Risk?**

Need Principle

We can reduce the likelihood
of recidivism

by *assessing* and *targeting*
criminogenic needs

Interventions must be very **focused**





Criminogenic

Problem-solving

Decision-making

Anger management

Substance abuse treatment

Family functioning

Reducing criminal thinking



Non-Criminogenic

Vague or emotional problems

Physical activity

Fear of official punishment

Creativity

Mental health

Appreciation of nature

Criminogenic Needs Reflect Dynamic Risk

Responsivity

People respond differently to treatment strategies and correctional environments

“Barriers” to treatment

Assessing responsivity is important to maximize benefits of treatment

Specific

Matching to program and interventions

Remove individual barriers to interventions

General

Behavioral and cognitive-behavioral techniques

Core correctional practices

Social learning techniques

Specific Responsivity

Internal Factors

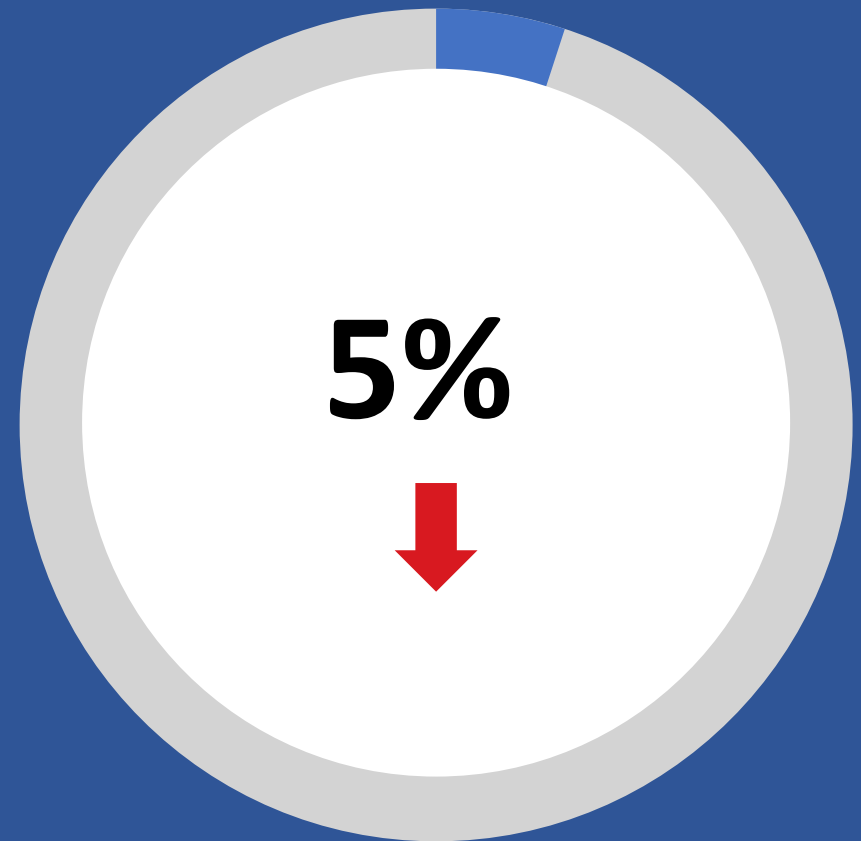
- Trauma experience
- Cognitive abilities
- Race/culture
- Age
- Personality
- Motivation

External Factors

- Correctional setting
- Transportation
- Homelessness
- Child care
- Facilitator characteristics
- Gang affiliation

Professional Discretion

- Also known as override
- Consider risk, need, and responsiveness
- Determine if placements dictated by assessment are the most appropriate
- With general caseloads, overrides should occur 5% of the time or less



Review of the Instrument



Review of the Instrument



Scoring

Yes-No Items

Yes = 1 pt. Risk

No = 0 pts. Risk

Rater Items

3 = 0 pts. Risk

2 = 0 pts. Risk

1 = 1 pt. Risk

0 = 1 pt. Risk

3 = SATISFACTORY situation, no need for improvement

2 = RELATIVELY SATISFACTORY, some room for improvement

1 = RELATIVELY UNSATISFACTORY, need for improvement

0 = VERY UNSATISFACTORY, strong need for improvement

Strength and Protective Factors

Partial representation of LS/CMI™ scoring form, Section 1

LS/CMI Section 1

Total Score

A + B =

Scale Acronyms

CO = Companions
LR = Leisure/Recreation
ADP = Alcohol/Drug Problem
AP = Antisocial Pattern
PA = Procriminal Attitude/
Orientation
CH = Criminal History
EE = Education/Employment
FM = Family/Marital

Financial Problems 3 2 1 0

Number of address changes

Strengths

LR

CO

ADP

CH

PA

EE

AP

FM

Column A

22.

23.

24.

25.

26.

27.

28.

29.

30.

31.

32.

33.

34.

35.

36.

37.

38.

39.

40.

41.

42.

43.

Column B

1.

2.

3.

4.

5.

6.

7.

8.

9.

10.

11.

12.

13.

14.

15.

16.

17.

18.

19.

20.

21.

CH Total

EE Total

FM Total

Column Total

A

B

41a.

b.

c.

d.

42a.

b.

43a.

b.

c.

d.

42c.

43e.

f.

g.

h.

MHS

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Review of the Instrument: Common Scoring Issues



Criminal History

- Prior youth dispositions or adult convictions
 - Do not count diversion
 - Count points in time, not number of charges
- Present offenses:
 - Focus on number of charges
 - Do not count outstanding charges
- Ever incarcerated upon conviction
 - Do not count pretrial detention or house arrest
 - Includes youth and adult incarcerations



Criminal History

Ever punished for institutional misconduct or a behavioral report

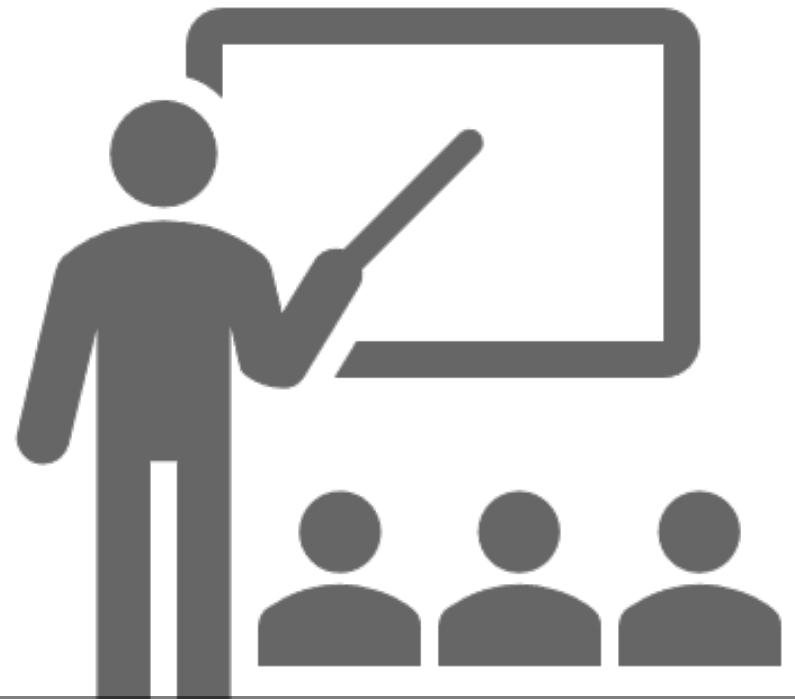
- Must be a finding of guilt and sanction

Charge laid or probation/parole suspended during prior community supervision

- Community supervision includes probation, bail, community service, parole

Education and Employment

- Employment
 - Legitimate employment
 - Paid training programs that include a work component
 - Consider available work hours
 - "2-year" rule
- Currently unemployed
 - Students, retirees, homemakers, or seasonal workers should be scored no



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Education and Employment

- Frequently unemployed
 - 50% unemployed in the last 12 months
 - Seasonal workers: do they return to same job?
- Never employed full year
 - Include individuals who frequently switch jobs with unemployment in between

Education and Employment

- Education items are lifetime items
 - Consider age when assessing less than grade 10 and less than grade 12
 - Include alternative programs, equivalency programs, and correspondence programs recognized by government

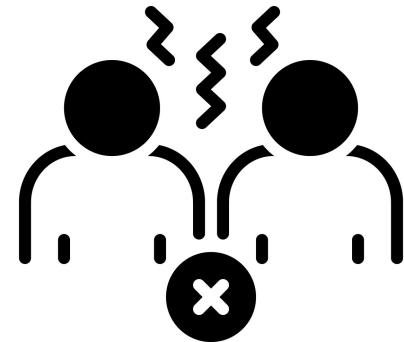


Education and Employment

- Rating Items
 - Participation/Performance
 - Peer Interactions
 - Authority interactions
- Ratings
 - Risk (0-1) vs no risk (2-3)
 - Unemployed = 0 on all 3 items
 - Not working outside home = score item 15, omit items 16-17
 - “2-year” rule for incarcerated individuals

Family and Marital

- Dissatisfaction with marital/equivalent situation
 - Individuals' perspective
 - Rate violent relationships as 0
- Non-rewarding relationships
 - Consider type of support and contact provided
 - Parental relationship = 0 if both parents are deceased
- Criminal family/spouse
 - Consider extent of influence
 - Timeframe: Ever



Leisure and Recreation

- Points to keep in mind
 - Determine extent of involvement and type of activities
 - How does activity relate to risk?
- Absence of recent participation in an organized activity
 - “2-year” rule
- Could make better use of time



Companions

- Points to keep in mind
 - Acquaintances/casual friends vs. close friends
 - “Some” can equal one; consider the degree of influence on behavior



Companions

- All incarcerated individuals should be scored YES on some criminal acquaintances
- No/few anti-criminal friends/acquaintances:
 - A lack of positive friends or acquaintances
- Use "2-year rule" for *some criminal friends*



Alcohol and Drug Problems



- Points to keep in mind
 - Include illicit use of prescription drugs
 - Use, not offenses
- Current drug or alcohol problems
 - Past year
 - Modified 2-year rule
 - Less than 2 years, consider 12 months prior to incarceration + incarceration
 - More than 2 years, only consider incarceration



Alcohol and Drug Problems

- Items 32-35 based on *current* use
- Law violations
 - Use contributes or could contribute to criminal behavior
- Martial/Family
 - Use contributes to problems/conflict/complaints

Alcohol and Drug Problems

- School/Work
 - Use interferes with school or work
- Medical or other clinical indicators?
 - Physical complaints, medical advice against drinking, financial difficulties, using to avoid withdrawal, blackouts



Pro-Criminal Attitude/Orientation

- Supportive of crime
 - Listen for attitudes, justifications, and excuses throughout entire interview
 - Benefits of crime and feelings about others
- Unfavorable towards convention
 - Convention: alternative to crime
 - Attitudes towards work, school, family and prosocial others

Pro-Criminal Attitude/Orientation

- Poor, toward sentence/offense
 - Attitudes towards consequences of crime
- Poor, toward supervision/treatment
 - Attitudes supportive of non-compliance?



Antisocial Pattern

Specialized assessment for antisocial pattern

- Completed assessment with finding
- Documented need for assessment

Early and diverse antisocial behavior

- Problems or arrest under age 16 (#5)
PLUS
- Official record of assault/violence OR
- Escape history from correctional facility OR
- Charge laid, probation breached, or parole suspended (#8)

Antisocial Pattern

- Criminal attitude
 - Based on prior ratings
 - Score Yes if
 - Supportive of crime (item 36) = 0 or 1 OR
 - Unfavorable towards convention (item 37) = 0 or 1 OR
 - Poor, towards supervision/treatment (item 39) = yes

Antisocial Pattern

Pattern of Generalized Trouble

Four+ risk factors →

Financial problems

3 or more address changes (excluding incarceration)

Never employed for full year (item 11)

Less than regular grade 10 or equivalent (item 12)

Suspended or expelled at least once (item 14)

Nonrewarding, parental (item 19)

Could make better use of time (item 23)

Few anticriminal friends (item 27)

Case Planning

A decorative graphic consisting of three colored squares (dark blue, grey, and light grey) and a large dark grey rectangle. The dark grey rectangle is on the left, containing the text 'Case Planning'. The dark blue square is on the right, above the grey square. The light grey rectangle is at the bottom, spanning the width of the dark grey rectangle and the squares.

What is assessment-driven case planning?

- Assess risk
- Prioritize intensive services to medium/high risk youth
- Develop goals based on criminogenic needs
- Remove barriers (responsivity)
- Provide ongoing reassessment

Summary of Results

What area
should be
prioritized for
intervention?

Assessment of General Risk/Need Factors

The graph below displays Paul Peter Principle's risk level for each General Risk/Need subcomponent



Case Plan Development

Link case plan and interventions to
assessment

Match targeted needs to effective
strategies

Leave low-risk areas alone

Individualize

Case Plan Development

NEEDS/PROBLEMS

Based on assessment

GOALS

Longer term outcomes –
where should client be
after interventions?

Case Plan Development

OBJECTIVES

- Client's short-term measurable and verifiable steps to reach goal
- Objectives should be stated in SMART terms

SMART Objectives

Specific

Measurable

Achievable

Relevant

Time-based

TECHNIQUES

**Your actions
to help clients
reach longer
term goal**

Three areas to be
considered:

1. Supervision techniques
2. Referrals
3. Face to face contact

Example – Substance Abuse

Problem	Goal	Objectives	Techniques
Use of Marijuana	Eliminates use of marijuana	Participates in substance abuse treatment	Refer for substance abuse assessment
		List benefits of participating in and cons for not complying with treatment	Cost-benefit analysis
		Attend and participate in all substance abuse treatment group activities	Refer for services/Monitor with drug screens/Updates from tx provider and youth.
	Improve coping skills to deal effectively with problems.	Identify high risk situations/ thoughts/feelings/behaviors for substance use.	Functional analysis
		Attend and participate in all skill building treatment group activities.	Refer for services/Monitor for participation/Updates from tx provider and youth.

GEARS Snapshot

The graphic consists of a large dark gray rectangle on the left containing the text "GEARS Snapshot". To its right are two stacked squares: a blue one on top and a gray one on the bottom. Below the dark gray rectangle is a wide, light gray horizontal bar.

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MHS Training Account

Management

Activity Reports

Aggregate Reports
(Evaluations)

Aggregate Reports (Users)

Caseload

Evaluation Requests

Evaluations

Institution

Invitations

Location Setup

Offense Classifications

Policies

Reminders

Report Templates

Tools

View
Caseload



Total Clients

56



Total Evaluations

143



Users

24/∞



Outstanding
Evaluations

64



Institution Evaluation
Requests

0






View
Assessments

Get Help!

Home
Page

Caseload Page

Create a new client

Debi Koetzle >     

Search...









+ CREATE

+ BULK IMPORTER

Edit

Evaluations

Create Expunge Request

Name ▾	Client ID ▴	Institution ▴	Location ▴	Date of Birth ▴	Country ▴	Options	Profile
Brown-1, Jack	377020071	MHS Training Account	Juvenile	12/19/2007	BS		
Brown-10, Jack	3770200710	MHS Training Account	Juvenile	12/19/2007	BS		
Brown-2, Jack	377020072	MHS Training Account	Juvenile	12/19/2007	BS		
			Juvenile	12/19/2007	BS		

Edit client details OR view assessments

View client profile

Search...

REFRESH EVALUATIONS LIST

+ CREATE EVALUATION REQUEST

+ CREATE EVALUATION

Assigned Date	Completed Date	Client ID	Client Name	Evaluator	Status	Tool	Due Date	Options
07/08/2022 11:26 AM	07/08/2022 12:05 PM	1234	smith, john	Young, Lynden	Completed	LS/CMI	--	
08/28/2022 08:24 PM	08/28/2022 08:54 PM	3770501	Principle, Paul Peter	Gauvin, Caroline	Completed	LS/CMI	--	
09/08/2022 12:44 PM	09/08/2022 01:28 PM	37702003	Brown, Frank	King, Angelo	Completed	LS/CMI	09/09/2022	
09/08/2022 12:44 PM	09/08/2022 01:28 PM	37702003	Brown, Frank	Saunders, Sonia	Completed			

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NEW REMINDERNEW EVALUATIONNEW COMPARATIVE REPORTCASE PLAN REVIEWEDIT CLIENT

EVALUATIONS

Frank Brown
Client ID - 124650ls

General

Date of Birth02/14/1980

Age43

SexMale

Gender Identity

Race/EthnicityWhite

Most Recent Evaluation(s)

Tool | DateScore | Risk Category






LS/CMI | 12/01/202218 | Medium

Enter New Assessment

Evaluation Page


Profile Page

Profile Page

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[NEW REMINDER](#) [NEW EVALUATION](#) [NEW COMPARATIVE REPORT](#) [CASE PLAN REVIEW](#) [EDIT CLIENT](#)

[EVALUATIONS](#)



Frank Brown

Client ID - 124650ls


General

Date of Birth 02/14/1980
Age 43
Sex Male
Gender Identity
Race/Ethnicity White


Most Recent Evaluation(s)


Tool / Date	Score / Risk Category
LS/CMI 12/01/2022	18 Medium


[Recent Evaluations](#) [Case Plans](#) [Details](#) [LS/CMI Comparative Report](#) [YLS/CMI Comparative Report](#)


Assigned Date ▲	Completed Date ▼	Tool ▼	Evaluator ▼	Status ▼	Actions
12/01/2022 02:29 PM	12/01/2022 03:13 PM	LS/CMI	Levett, Levette	Completed	


Showing 1 of 1 entries.


 View


 Generate Case Plan

 Generate Report

 Continue

 Reassessment

 Manage Media

 Delete

View Case Plan

View Report

Reassess

View Assessment

Frank Brown

Client ID - 124650dk

General

Date of Birth

02/14/1980

Age

43

Sex

Male

Gender Identity

Race/Ethnicity

White

Most Recent Evaluation(s)

Tool / Date

Score / Risk Category

LS/CMI | 12/01/2022

18 | Medium

Recent Evaluations

Case Plans

Details

LS/CMI Comparative Report

YLS/CMI Comparative Report

GENERATE NEW CASE PLAN

Current Case Plan

GENERATE REPORT

SAVE/SUBMIT

LS/CMI CASE MANAGEMENT PLAN for Frank Brown

8

9

ADMINISTRATIVE SUMMARY

8.1 Administrative Summary

+ ADD ITEM

Date of Conviction

Risk Level (Part 6)

Reporting Requirement (Community Offenders)

Reporting Requirement (Custodial/Residential Other)

Security Setting (Custodial/Residential for Inmates)

Security Setting Other

Actions

Medium

Bi-weekly

First

<

1

>

Last

Items per page

3

Case Plan
Page

8.2 Program Targets and Intervention Plan

8.2.1 Criminogenic Needs (Rank Order)

+ ADD ITEM

Criminogenic Needs

Risk Level Goal

Intervention

Time Frame

Intensity

Date

Actions

Alcohol/ Drug Problem

High

Reduce consumption.

Counseling | NO PROGRAM FOUND

3 months

weekly

12/01/2022

Family/ Marital

High

Improve or remove self from marital situation.

Family Therapy | Family therapy

6 months

weekly

12/01/2022

First

<

1

>

Last

Items per page

3

8.2.1 Criminogenic Needs (Rank Order) - Progress Record

+ ADD ITEM

Date

Change

Noteworthy Developments

Actions

Alcohol/ Drug Problem

Family/ Marital

No Items in Table

First

<

1

>

Last

Items per page

3

8.2.2 Other Needs

+ ADD ITEM

Other Needs

Goal

Intervention

Time Frame

Intensity

Date

Actions

Victim of family violence

Accept personal history

Victim counseling - may include adult children of alcoholics and grief counseling

12 months

bi-weekly

12/01/2022

First

<

1

>

Last

Items per page

3

8.2.2 Other Needs - Progress Record

+ ADD ITEM

Date

Change

Noteworthy Developments

Actions

Final Points to Remember

Using Assessment Results

Target higher-risk individuals

Focus on criminogenic needs

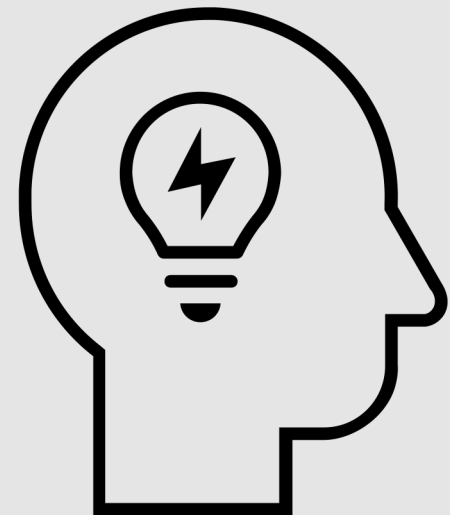
Plan for responsiveness

Use SMART goals/objectives

Reassess for change

Assessments & Decision-Making

- Standardized assessments
 - More reliable
 - Less time consuming
 - Less expensive
- Instruments give guidance and information
- People make decisions



Questions?



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