



Building Recovery Capital with Justice Involved Individuals - Step by Step

Developed by:
National Drug Court Institute

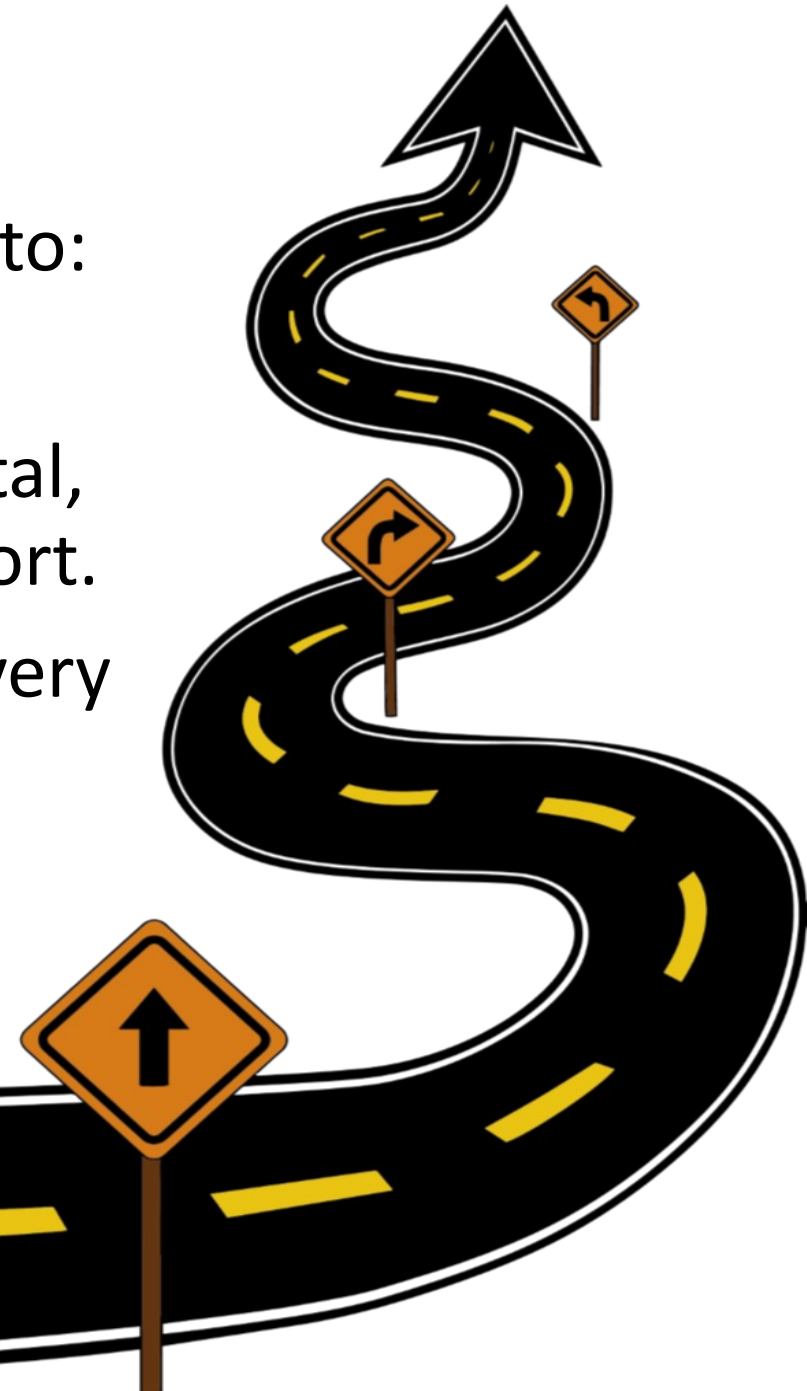
©NDCI, 2023

The following presentation may not be copied in whole or in part without the written permission of the author of the National Drug Court Institute. Written permission will generally be given upon request.

Learner Objectives

By the end of this session, participants will be able to:

- Define the elements of recovery capital
- Understand the research related to recovery capital, substance use treatment and peer recovery support.
- State the role of treatment court in building recovery capital
 - Assessment
 - Staffing/case management
 - Renewing your community map



Recovery

SAMHSA

“A process of change through which individuals improve their health and wellness, live a self-directed life, and strive to reach their full potential. “

RRI

“The process of improved physical, psychological, social well-being and health after having suffered from a substance related condition”

SAMHSA 4 Major Dimensions



Health



Home



Purpose



Community






Common themes?

There are common themes across definitions

The process of recovery is not just about abstinence

Includes:

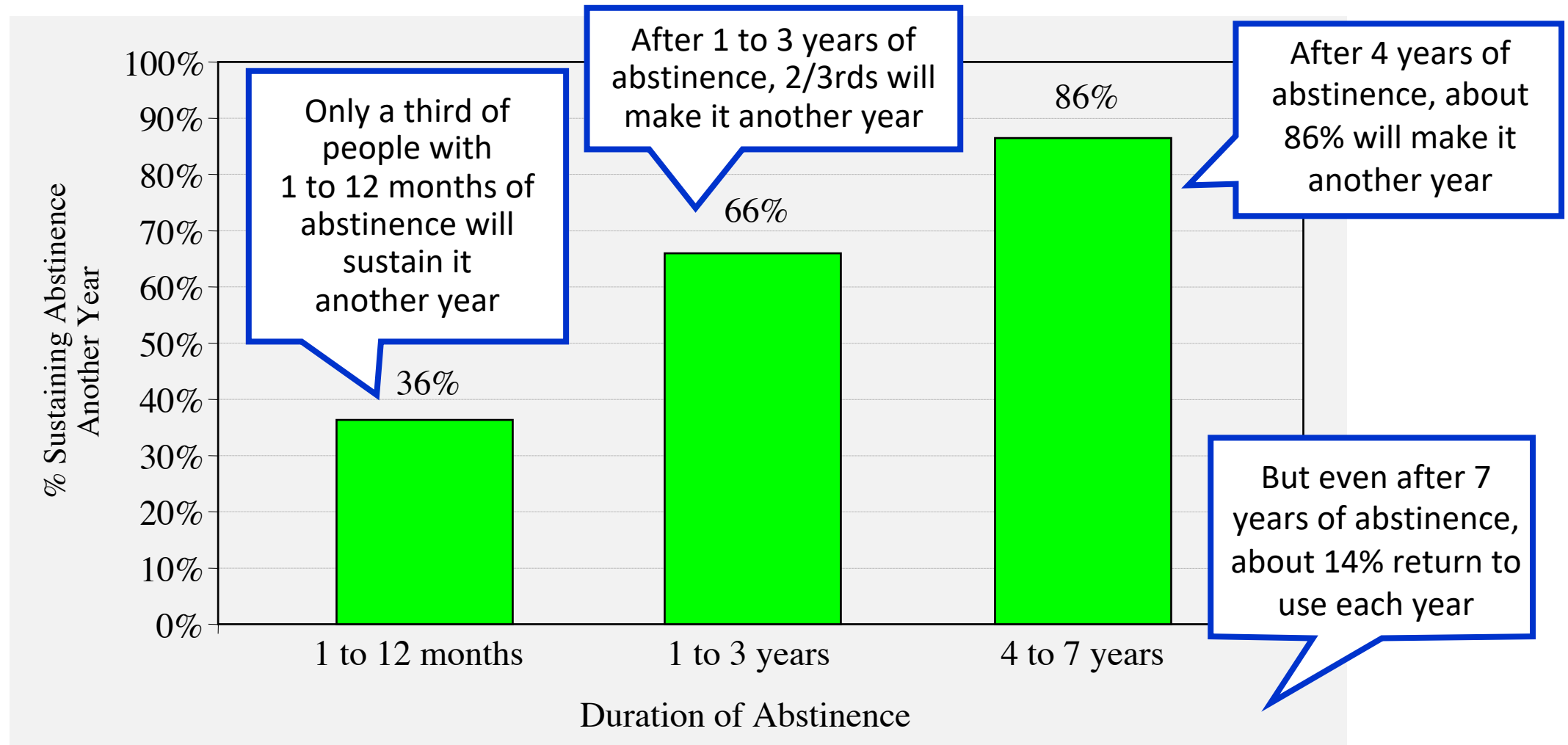
- Empowerment
 - Well-being
 - Quality of life
 - Connectedness
- 
- 
- 



Substance Use Disorder Research

- Causes, correlates and consequences
- Clinical interventions (best practices) and treatment course
- Use of medication
- Limitations
 - Measure days of abstinence
 - Failure to focus on long-term functioning and well-being (Kelly et al, 2019; Laudet, 2011).

Likelihood of Sustaining Abstinence Grows Over Time



Source: Dennis, Foss & Scott (2007)

Average Progression of Recovery

Duration of Abstinence

1–12 Months

- ✓ Association with peers and people in recovery
- ✓ Less illegal activity and incarceration
- ✓ Less homelessness, violence, and victimization
- ✓ Less use by others at home, work, and by social peers

1–3 Years

- ✓ Virtual elimination of illegal activity and illegal income
- ✓ Better housing and living situations
- ✓ Increasing employment and income

4–7 Years

- ✓ More social and spiritual support
- ✓ Improved mental health
- ✓ Housing and living situations continue to stabilize
- ✓ Dramatic rise in employment and income
- ✓ Dramatic drop in people living below the poverty line

Distinction

Treatment

- In-or outpatient services
 - Group
 - Individual counseling
- Withdrawal management
- Medication for substance use disorder treatment

Recovery

- Choice
- Personal
- Value
- Thrive
- Medication



Understanding Recovery Capital

All the personal and tangible resources a person has and needs in order to initiate and sustain recovery (Granfield & Cloud, 1999; Laudet and Best, 2010; White & White, 2008).

Research is varied, but generally three to six elements of recovery capital:

- Human
- Financial
- Social
- Community
- Cultural



Recovery Capital



Personal Capital

Divided into both human and financial capital

Human capital includes:

- Values
- Knowledge
- Skills
- Self-esteem
- Risk management

Financial includes:

- Transportation
- Shelter
- Access to insurance



Social Capital

Relationships

- Family
- Friends
- Supportive social relationships that are centered around recovery
- Relational connections



Community and Cultural Capital

- Full continuum of treatment resources
- Accessibility of resources that are diverse
- Local recovery efforts and supports
- Culturally prescribed and supported pathways of recovery
- Recovery norms are valued in the community



Research on Recovery Capital



Current Research Findings

- An emerging field of study
- Those who complete treatment have higher levels of recovery capital
- Those in rural areas specifically benefit from focused efforts on building social and personal capital.
- Peer recovery support services delivered by community recovery organizations ($n=3459$) resulted in statistically significant changes in recovery capital scores.
 - The number of contacts and completed goals were predictive of increases in post scores
- A 2016 study found that treatment court clients had “restricted recovery capital portfolios” ($n=34$)





The Role of Treatment Court in Long-Term Recovery

Q: How can a treatment court team support clients in building up their recovery capital and long-term management?

Steps...



Assessment of individual recovery capital

Mapping of community supports

Case Planning and Staffing

Phase Realignment

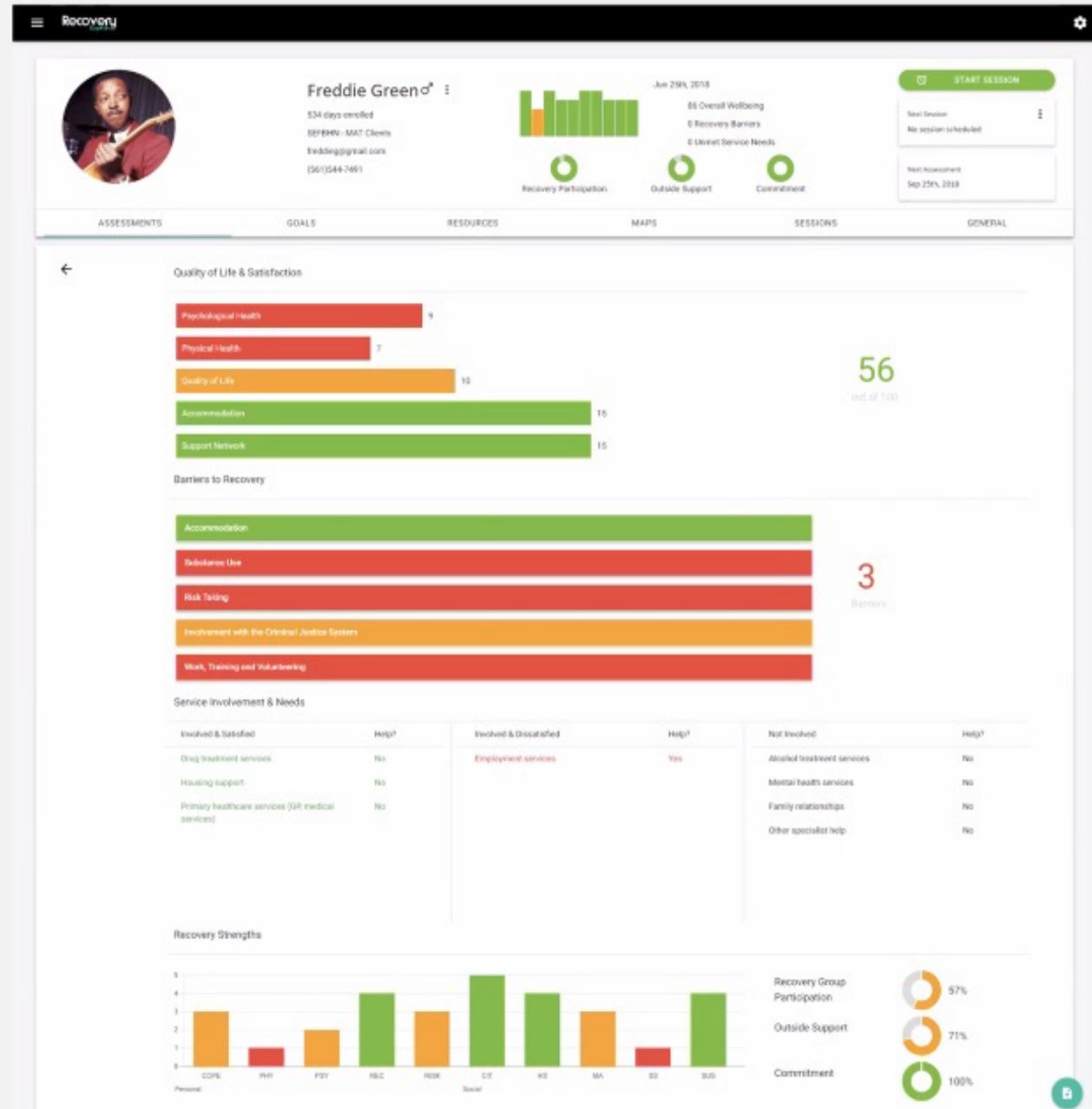


1) Tools

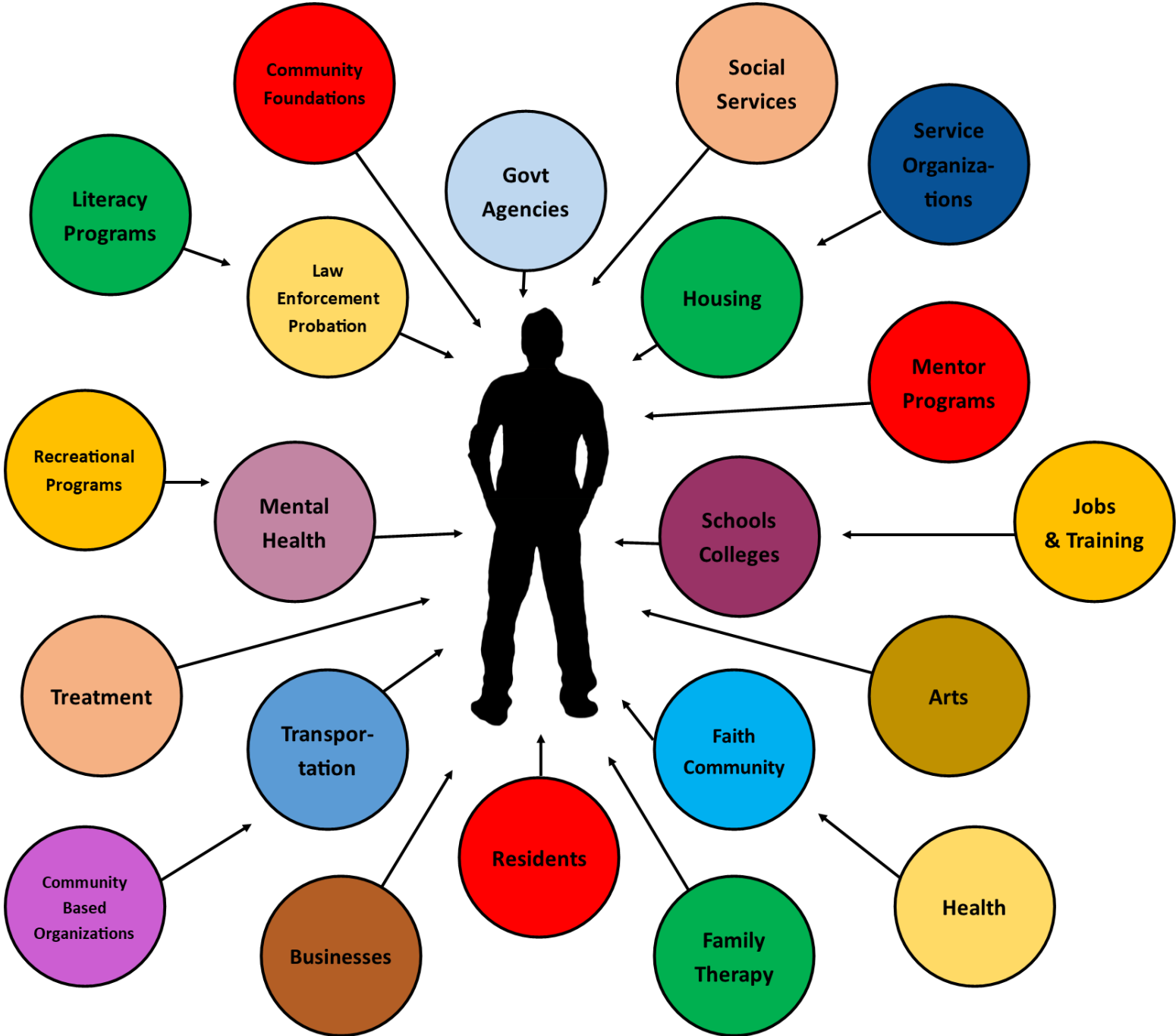
- **REC-CAP:**
<https://www.counselormagazine.com/en/article/strengths-planning-for-building-recovery-capital>
- **Brief screeners**
 - Recovery capital scale:
<http://www.williamwhitepapers.com/pr/Recovery%20Capital%20Scale.pdf>
 - Brief Assessment of Recovery Capital (BARC-10)
<http://shura.shu.ac.uk/15835/2/Best%20Development%20and%20validation%20of%20a%20Brief%20Assessment%20of%20Recovery%20Capital%20%28BARC-10%29%20%28Scale%29.pdf>
- **Conducted by qualified treatment provider, probation or case manager**
- **Pre and post**

SCORING THE RECCAP

- Quality of Life & Satisfaction
- Barriers to Recovery
- Service Involvement & Needs
- Recovery Strengths
- Assessment of Recovery Capital (ARC)
- Personal - Coping & Life Functioning, Physical Health, Psychological Health, Recovery Experience, Risk Taking
 - Social - Citizenship, Housing & Safety, Meaningful Activities, Social Support, Substance Use & Sobriety
- Recovery Group Participation
- Outside Support
- Commitment to Sobriety



2) Community Mapping





3) Case Planning and Staffing

- Risk/Need/Responsivity
- Coordinate with treatment plan
- Court responses should consider elements of recovery capital & long-term recovery management



Case Staffing Form Example

Personal

This includes an individual's physical and human capital. **Physical capital** is the available resources to fulfill a person's basic needs. **Human capital** relates to a person's abilities, skills, and knowledge, like problem-solving, education, self-esteem, and the ability to navigate challenging situations to achieve goals.

Resources:

Potential Barriers:

Participant Engagement:

Community

This includes attitudes, policies, and resources specifically related to helping individuals resolve substance use disorders.

Resources:

Potential Barriers:

Participant Engagement:

Social

The resources related to intimate relationships with friends and family, relationships with people in recovery, and supportive partners. It also includes the availability of recovery-related social events.

Resources:

Potential Barriers:

Participant Engagement:

Recovery Capital Staffing Questions

Which recovery capital domain is the participant working on this week?


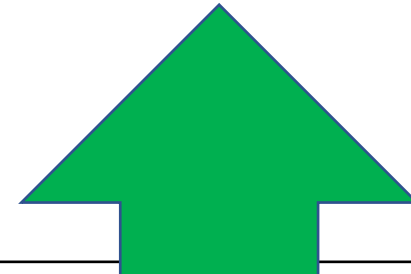
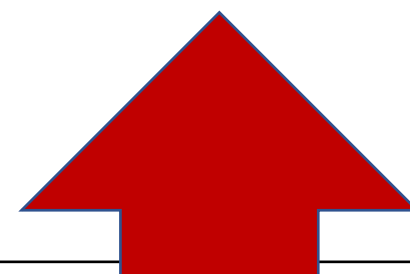
What is the participant's need they are addressing?

Are there any barriers they are experiencing?

How can the team help?

Checklist

- What** is the need?
- Which** resource best meets the need?
- What** barriers exist to access resource?
- How** will you get the participant to engage in the resource?

GOALS PHASE ____ Review in ____ Days	Treatment Objectives (include responsivity factors to address)	Probation Objectives (include responsivity factors to address)
Area of Focus: GOAL: Recovery capital element:		
 <p>Area of Focus:</p> <p>Goal: brief statement of condition to change. It should happen. The goal that will be achieved.</p> <p>Recovery capital element: list here whether the goal builds the personal, social or community/cultural capital for the client.</p> <p>Recovery capital element:</p>	 <p>Treatment objectives: all goals have objectives, which are specific skills that need to be acquired in order to reach the goal. Objectives have timelines and can be measured or “seen”.</p>	 <p>Case Management objectives: same definition as treatment objectives, but the approach for the skill building may (or may not) be different.</p>

GOALS PHASE ____ Review in ____ Days	Treatment Objectives (include responsivity factors to address)	Probation Objectives (include responsivity factors to address)
<p>Area of Focus: <i>Peers</i></p> <p>GOAL: Spend time with sober people that are my age.</p> <p>Recovery capital element: Social & Personal</p>	<p>John will increase his involvement in the recovery community by attending 2 recovery support meetings and 2 social events at the recovery club on Main Street by October 15th.</p> <p>John will complete a decisional balance sheet on how his peers affect his life in recovery and share it with treatment. TX and John will create a plan to address the negative peers that hang out at his house. John will with probation. Completed by 10/1.</p>	<p>John will complete a decision balance sheet on how his peers affect his life in recovery and share with this probation by 10/3.</p> <p>John will role play having a difficult conversation with his probation officer to prepare for the conversation with his peers. During this meeting, John will set a plan to when he will have this discussion with his peers. To be completed prior to the next court date on 10/15.</p>
<p>Area of Focus: <i>Substance Use</i></p> <p>GOAL: I want to make recovery work this time</p> <p>Recovery capital element: Personal</p>	<p>John will write a list of triggers and high-risk situations that have led to relapse in the past year by ____.</p> <p>Clinician to explore ambivalence using MI and CBT.</p>	<p>John will complete a Behavior Offense Chain with his probation officer when the last time he used and committed a new offense. John will identify any triggering behaviors, emotions, or thoughts that led to use and criminal activity.</p>

PHASE REALIGNMENT: HIGH RISK/NEED



PHASE 1

RECOVERY CAPITAL

- I have financial resources to provide for myself and family
- I have a personal transportation or access to public transportation
- I live in a home and neighborhood that is safe and secure
- I live in an environment free from alcohol and other drugs
- I have a primary care physician who attends to my health problems.
- I have insurance that will allow me to receive help for major health problems.
- I have access to regular, nutritious meals.





Financial Need

Explore what is important for you to work towards financial freedom. Financial freedom is having enough savings and cash on hand to afford the kind of life you deserve for yourself and you family. Reflect on what financial freedom looks like to you and continue the activity on the next page.

BANK			
How would attaining financial freedom be impactful on your life?			
<p>Answer Y/N</p> <p>Have a budget? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Taught how to manage money? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Burn through money quickly? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Have bank account? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Why is it so hard to stick to a budget?</p>	<p>Answer Y/N</p> <p>Usually pay for things with cash? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Do you balance your checkbook? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Do you have credit cards? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Do you trust the banks? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Write down monthly recurring expenses</p> <p>Rent: _____</p> <p>Utilities: _____</p> <p>Cell: _____</p> <p>Insurance: _____</p> <p>Food: _____</p> <p>Transportation: _____</p> <p>Loans: _____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p><i>Continue on separate paper if needed.</i></p>
<p>Scale 1-10 (1 low/ 10 High)</p> <p>How stressed are you with your finances?</p> <p>_____</p> <p>How often are your finances dictating what you do?</p> <p>_____</p> <p>How stressed are you doing this activity?</p> <p>_____</p>			
<p>What are the top 3 things you spend the most money on each month that are not a recurring expense?</p>		<p>What is one thing you are willing to do today to start working towards financial freedom?</p>	



Financial Need

What is one thing you are willing to do today to start working towards financial freedom you wrote on the previous page?

Understanding our strengths and barriers to reach a goal is important. Reflect and write down the strengths you have like organization, can do math, or anything else that contributes to what you identified. Also reflect and write down barriers that might prevent you from accomplishing this goal.

Strengths

Barriers

- ★ Place a star next to your greatest strengths to help you accomplish this goal.
- Circle the barriers you have control over to manage.

On the barriers not circled, where can you get assistance to manage them?

What type of assistance do you need to start working towards this goal?

Share with your case manager and write SMART Goals together to assist you on this journey



Transportation Need

Explore your transportation needs and how you meet them. Complete each box and continue the activity on the next page.

Why is having reliable transportation important to you?

What is your life like when you don't have reliable transportation?

What is your ideal way to meet your transportation need?

Transportation Barriers

- Suspended driver's license
- No vehicle insurance
- No vehicle
- Limited/no public transportation
- Money issues
- Schedule/Time to get places
- Childcare/transporting kids
- Other _____
- Other _____

Looking at barriers to transportation, what are your biggest stressors? Why?

What barrier do you want to start working on first?

Transportation Need

Goal setting is a good way to stay focused on what you want to achieve. Write what you want to accomplish in the next six months (short-term) and year (long-term).

Short-Term Goal
(6 months)

Long-Term Goal
(12 months)

You have a clearer picture of where you want to be in the future when addressing your transportation need. Write the barrier you identified on the previous page of what you want to start working on.

Action Planning

An action plan is a checklist for the steps or tasks you need to complete to achieve your goal. Be clear in what you want to accomplish when filling in the steps. Each step should be related to the identified barrier. This activity can be completed with your case manager if you are having difficulties thinking of steps.

Step 1: _____

What to do if I hit a barrier? _____

Step 2: _____

What to do if I hit a barrier? _____

Continue on next page





Housing Need

Explore what is important for you to feel safe and secure at where you reside. Write what you want in a place you call home. After completing, continue the activity on the next page.

What is important to me where I live?

Transportation Needs	School Requirements	Dwelling Size/Type
Expenses Rent: Electricity: Gas: Water: Cable: Internet:	Neighborhood Features <i>(parks, grocery store, etc.)</i>	Safety Features <i>(low crime, second floor, etc)</i>
Who is Living with Me?	Proximity to Family/Friends	Home Features <i>(dishwasher, AC, laundry, etc)</i>



Housing Need

How well does your current living environment match what you identified on the first page?

Match	No Match

★ Place a star next to the items in the **match column** that have the most value to you.

✓ Place a check mark next to the items in the **no match column** that pose the biggest concern for you feeling safe and secure in your home?

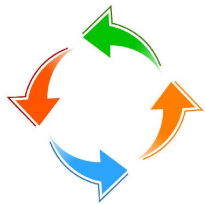
○ Circle one item in the no match column you see as the most concerning issue.

Identify the barriers that exist causing issues to address the circled item

What is one barrier you have control over to start addressing?

What are the next steps to address this barrier?

You plan to accomplish these steps by _____ (date)



Problem Solving

Step 1: Name the Problem - identify the issue or barrier that prevented you from completing your task.

Try to leave emotions out of the issue/barrier.

Step 2: Understand Others — Identify who is involved in the issue and what was the interaction with this person that added to the issue or barrier.

Step 3: Brainstorm Solutions - Think of solutions that will address what you wrote in understanding others while also working towards a resolution of the issue/barrier.

Step 4: Evaluate the Options and Choose - Review each brainstorming solution and *circle* the best option to meet your needs and successfully address the issue/barrier.

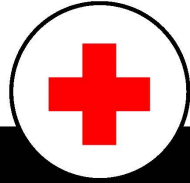
Step 5: Make a Plan - Decide when and how you will address the issue/barrier.

Step 6: Reflect and Adjust - Evaluate the success of your plan and decide what changes need to be made for future endeavors.



Medical Need

Explore the medical needs you have and how you meet them. Complete each box and continue the activity on the next page.



What issues are you experiencing or have experienced recently?

- | | |
|---|---|
| <input type="checkbox"/> Body aches | <input type="checkbox"/> Anxiety |
| <input type="checkbox"/> Body pains | <input type="checkbox"/> Mind racing |
| <input type="checkbox"/> Headaches | <input type="checkbox"/> Dental issues |
| <input type="checkbox"/> Fatigue | <input type="checkbox"/> Always sad |
| <input type="checkbox"/> Stomach pain | <input type="checkbox"/> Feel stuck |
| <input type="checkbox"/> Diarrhea | <input type="checkbox"/> Sleep issues |
| <input type="checkbox"/> Constipation | <input type="checkbox"/> Allergies |
| <input type="checkbox"/> Numbness/tingling | <input type="checkbox"/> Difficulty concentrating |
| <input type="checkbox"/> Swelling | <input type="checkbox"/> Poor eating habits |
| <input type="checkbox"/> Feel bloated | <input type="checkbox"/> Learning issues |
| <input type="checkbox"/> Dizziness | <input type="checkbox"/> Old injury bothering you |
| <input type="checkbox"/> High blood pressure | <input type="checkbox"/> Unmotivated to do anything |
| <input type="checkbox"/> Trouble losing weight | <input type="checkbox"/> Lack of exercise |
| <input type="checkbox"/> Trouble gaining weight | <input type="checkbox"/> Not sure, don't feel right |
| <input type="checkbox"/> Sore throat | <input type="checkbox"/> _____ |

On a scale from 1-10 (1 lowest, 10 highest) where would you rate yourself?

Physical health	_____	Mental health	_____
Exercise level	_____	Happy with self	_____
Eating healthy	_____	Connected to others	_____
Sleep quality	_____	Access to services	_____

What do you usually do to manage the issue(s) you are experiencing?

What barriers prevent you from accessing the help you need? (finances, insurance, transportation, feeling safe, etc.)

Medical Information

Have primary doctor? Yes No
 Have insurance? Yes No
 Have co-pay? How much? _____
 Distance from home to dr? _____
 How do you get to dr? _____
 What are setting up appointments like?

Mental Health Information

Feel safe at home? Yes No
 Connected to others? Yes No
 Know where to get help? Yes No
 Insurance covers MH tx? Yes No
 MH tx accessible? Yes No
 # times you exercise a week? _____
 Last time you were happy? _____

What are the top 5 issue affecting your life in recovery?

Pick one issue out of the five identified you want to work through and write it down.

Medical Need



What is the issue you identified as wanting to address? _____

Who is able to assist you to address this issue? _____

What do you need to address this issue? (*money, transportation, insurance, support, ect*)

Action Planning

An action plan is a checklist for the steps or tasks you need to complete to achieve your goal. Be clear in what you want to accomplish when filling in the steps.

Step 1: _____

What to do if I hit a barrier? _____

Step 2: _____

What to do if I hit a barrier? _____

Step 3: _____

What to do if I hit a barrier? _____

Continue on next page



PHASE 2

RECOVERY CAPITAL

- I have an intimate partner supportive of my recovery process.
- I have family members who are supportive of my recovery process.
- I have friends who are supportive of my recovery process.
- I have people close to me (intimate partner, family members, or friends) who are also in recovery.





Family Need

Explore what family means to you and how it supports recovery. Remember family can be biological or chosen. Complete each box and continue the activity on the next page.

What does a healthy relationship look like to you?

What does an unhealthy relationship look like to you?

What does support look like for you?

How do you manage frustrations with those close to you?

What Needs Does Your Family Provide?

- Childcare
- Financial support
- Emotional support
- Housing
- Transportation
- Spiritual support
- Assists with problems
- _____
- _____

What are ways you feel valued in a relationship from others?

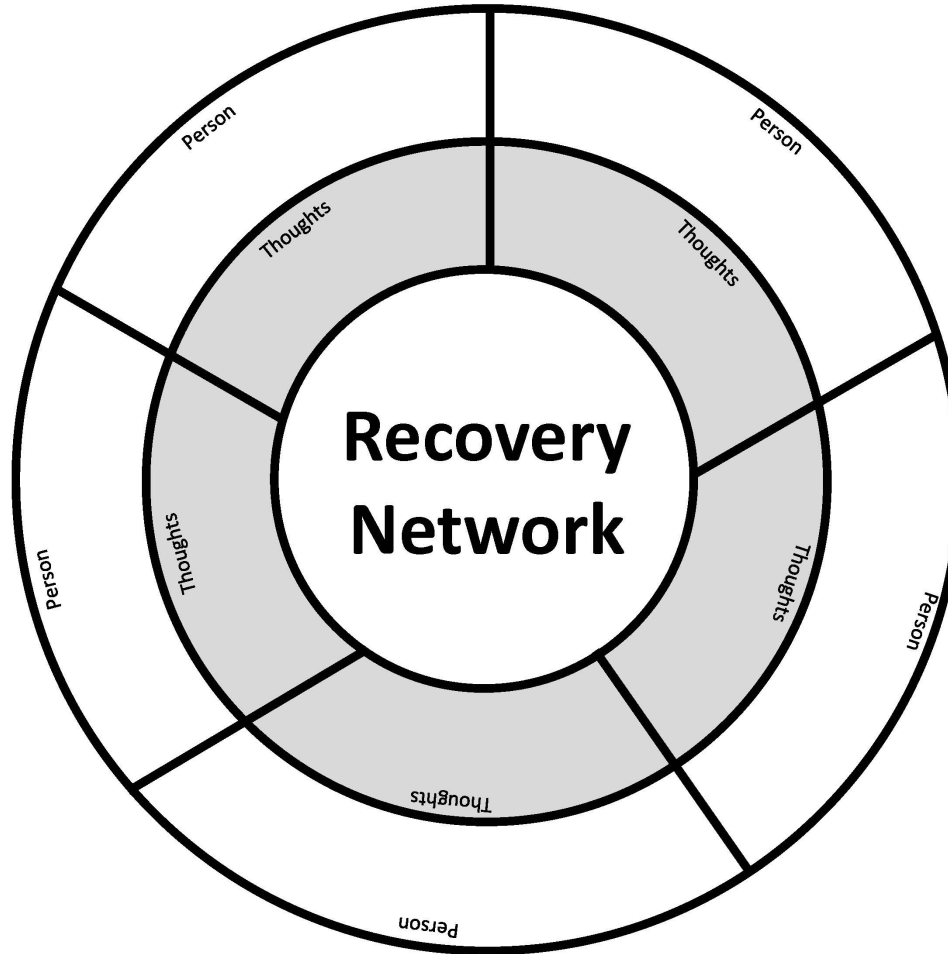
Who do you feel close to and can depend upon?



Name _____

Date _____

We want you to explore having fun in a positive way that supports recovery. Write down five individuals that you can connect with to be a part of your recovery network. After you identify them, please write down your thoughts about how they may assist you. At the bottom, reflect on your experience.



What are qualities you need from people in your recovery network? _____

What are ways you can strengthen your recovery network? _____

PHASE 3

RECOVERY CAPITAL

- I have a professional assistance program that is monitoring and supporting my recovery process.
- I am on prescribed medication that minimizes my cravings for alcohol and other drugs.
- I have clothes that are comfortable, clean and conducive to my recovery activities.
- I have access to recovery support groups in my local community.
- I have established close affiliation with a local recovery support group.
- I have a sponsor (or equivalent) who serves as a special mentor related to my recovery.
- I have access to Online recovery support groups.
- I have completed or am complying with all legal requirements related to my past.

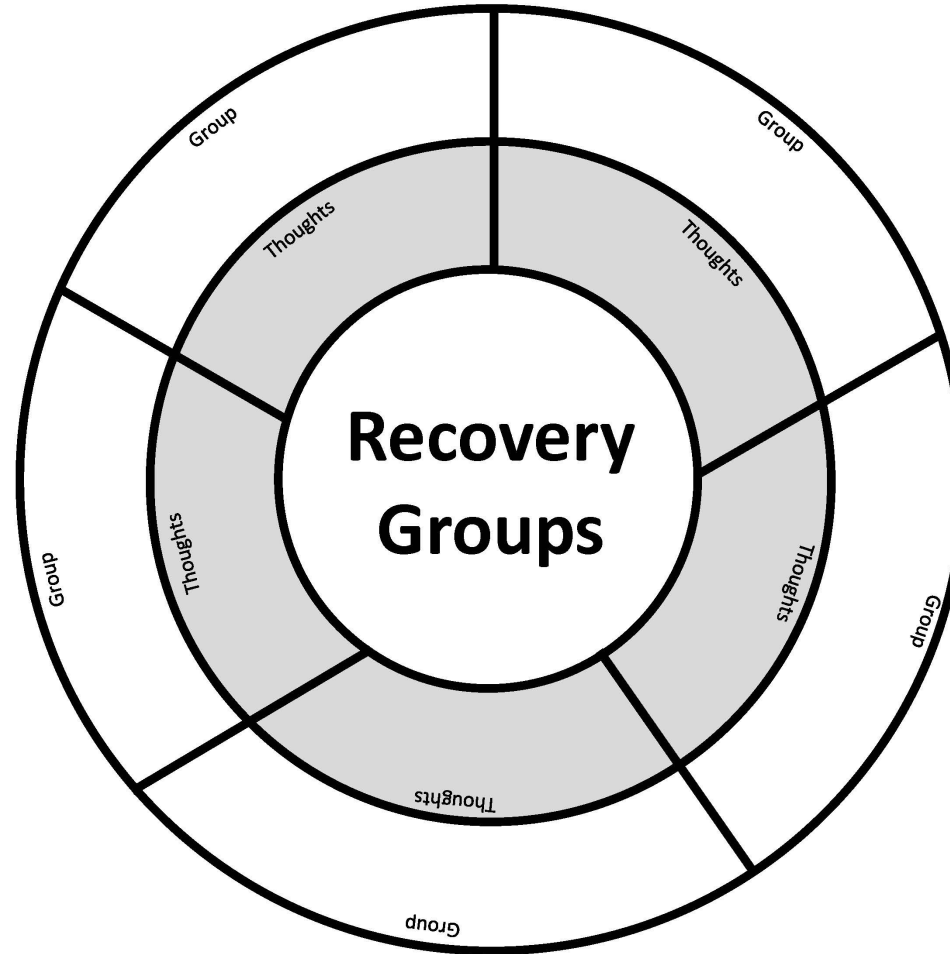




Name _____

Date _____

We want you to explore getting connected to your community that will support your recovery. Write down five recovery groups you are willing to visit. After visiting them, write down your thoughts about the experience. Once all five recovery groups are attended, reflect about the experience and complete the bottom questions.



What types of groups are you interested in attending more? Why? _____

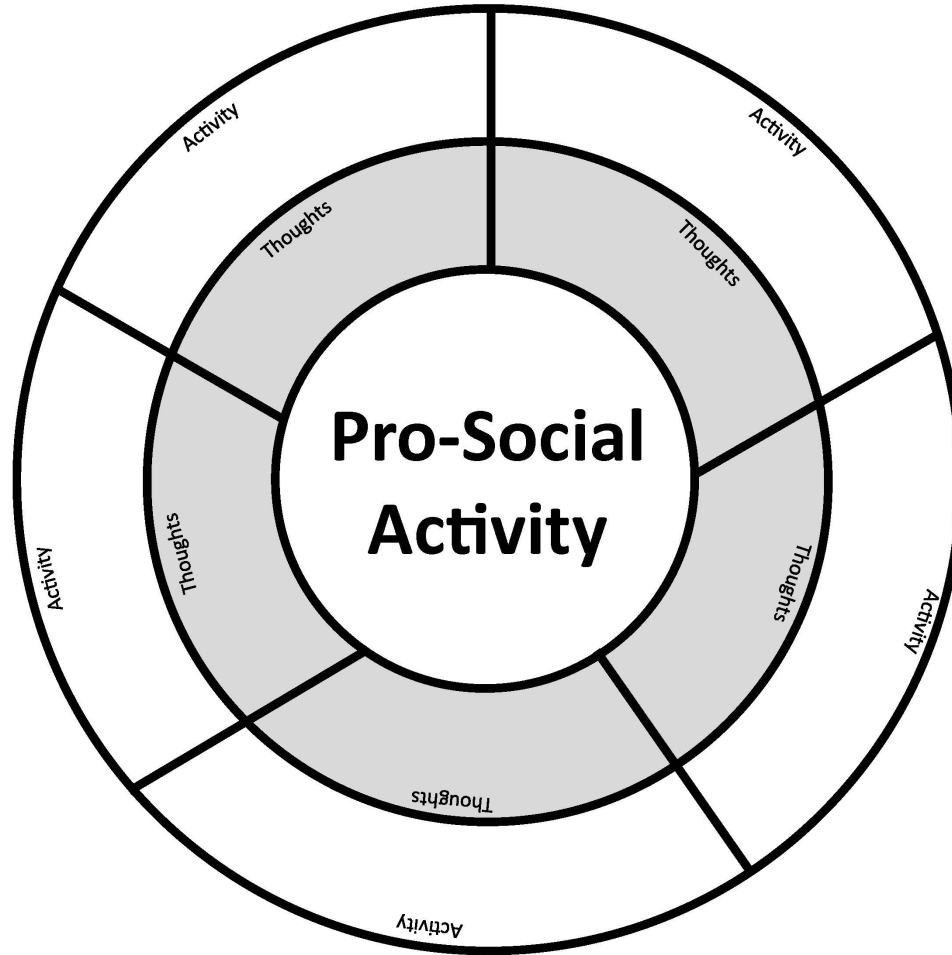
What value do you find in attending these groups?



Name _____

Date _____

We want you to explore having fun in a positive way that supports recovery. Write down five activities you are willing to do and go out and try them. After you do each activity, write down your thoughts about the activity. Once all five activities accomplished, reflect about the experience and complete the bottom questions.



What types of activities are you interested in attending more? Why? _____

What value do you find in attending these activities?

PHASE 4

RECOVERY CAPITAL

- I have a stable job that I enjoy and that provides for my basic necessities.
- I have an education or work environment that is conducive to my long-term recovery.
- I continue to participate in a continuing care program of an addiction treatment program, (e.g., groups, alumni association meetings, etc.)
- My immediate physical environment contains literature, tokens, posters or other symbols of my commitment to recovery.
- I have recovery rituals that are now part of my daily life.



PHASE 5

RECOVERY CAPITAL

- I had a profound experience that marked the beginning or deepening of my commitment to recovery.
- I now have goals and great hopes for my future.
- I have problem solving skills and resources that I lacked during my years of active addiction
- I feel like I have meaningful, positive participation in my family and community.
- Today I have a clear sense of who I am.
- I know that my life has a purpose.
- Service to others is now an important part of my life.
- My personal values and sense of right and wrong have become clearer and stronger in recent years.





In summary:

- To maximize outcomes for clients, we must think beyond the “temporary” structure of the treatment court.
- The treatment court is well positioned to assist clients by creating meaningful pathways of connectedness that last long after the court team has finished their work and support.
- For those that can make it to 7 years, their likelihood of relapse is less than 14%.
- Shift from thinking about what the treatment court “managed” to what the court assisted the client in adding to their lives.



THANK YOU!

JVANWORMER@ALLRISE.ORG