



Developing a Phase System and Rules for your DWI Court

Developed by:
National Center for DWI Courts

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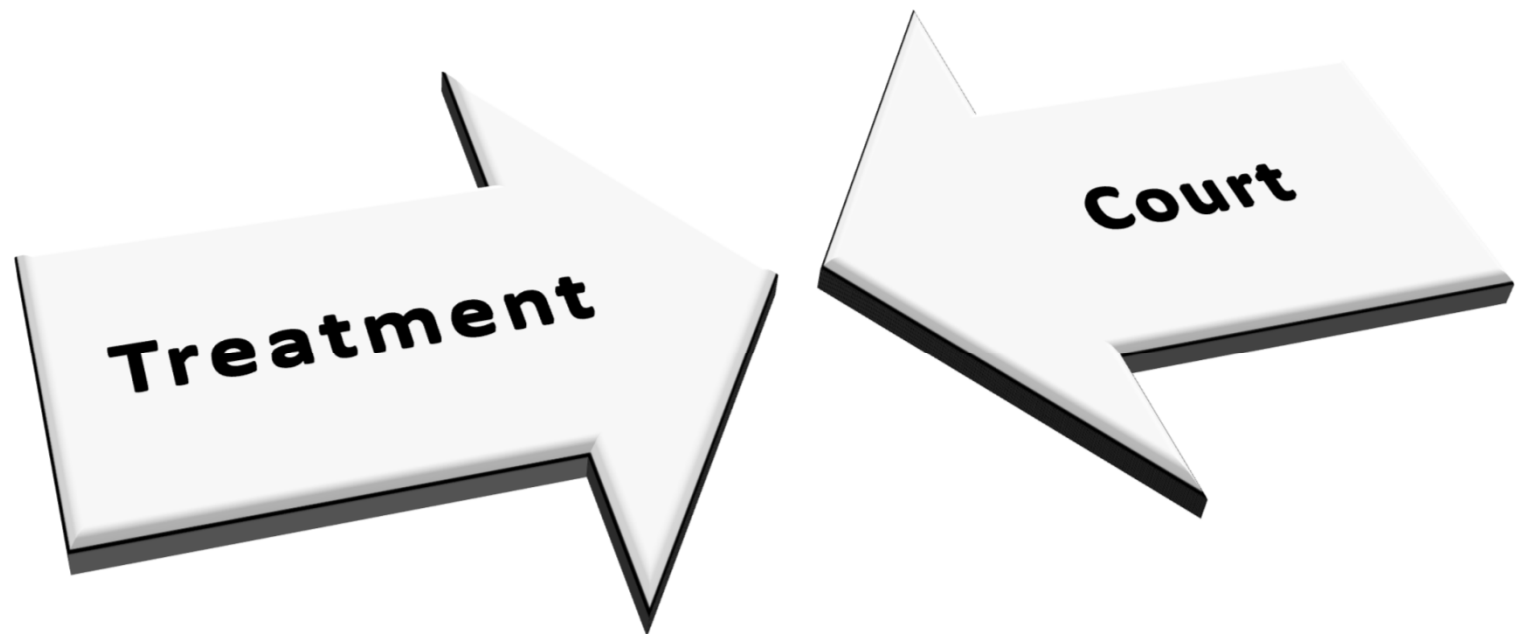
WHY HAVE PHASES?

STRUCTURE

RECOVERY PROCESS

INCREMENTAL PROGRESS

TYPES OF PHASES





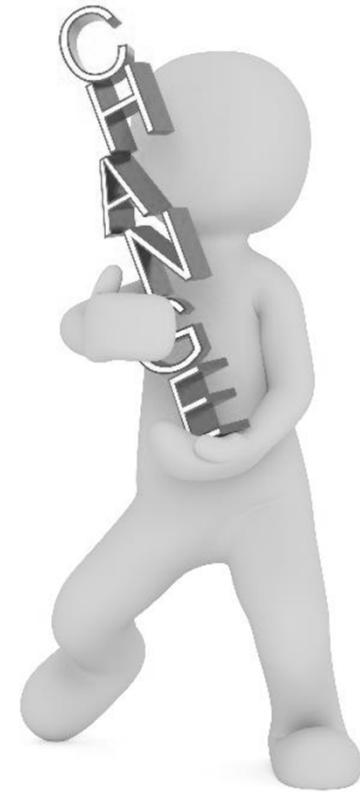
COURT REQUIREMENTS

- ✓ Comply with treatment
(*Chemical & Mental Health*)
- ✓ Comply with supervision
- ✓ Recovery Support Meetings
- ✓ Community Service
- ✓ Employment
- ✓ Program Fees/Court Costs
- ✓ Phase Advancement
- ✓ Alumni/Continuing Care



COURT REQUIREMENTS

- ✓ Court Appearances
- ✓ Alcohol Tests
- ✓ Drug Tests
- ✓ Sobriety Time
- ✓ Curfew
- ✓ Ancillary Services
- ✓ Transportation/License
- ✓ Case Management
- ✓ Educational/Vocational Training/GED
- ✓ Drug-Free/Pro-Social Activities



SAMPLE PHASES





PRACTICAL IMPLICATIONS

High
Needs
(dependent)

Low
Needs
(abuse)

High Risk

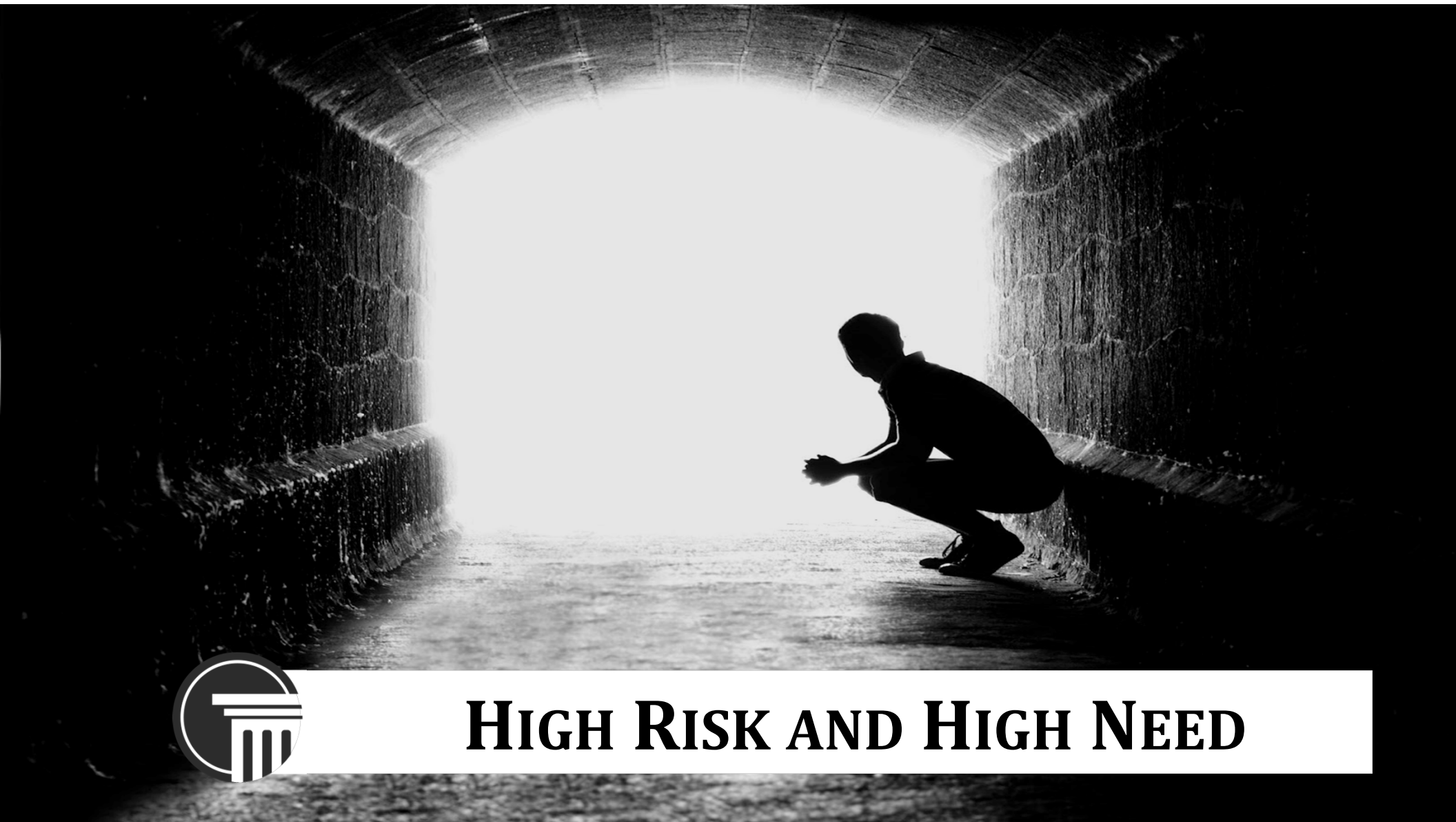
- ✓ Status calendar
- ✓ Treatment
- ✓ Pro-social & adaptive habilit.
- ✓ Abstinence is distal
- ✓ Positive reinforcement
- ✓ Self-help/alumni groups
- ✓ ~ 18-24 mos. (~200 hrs.)

- ✓ Status calendar
- ✓ Pro-social habilitation
- ✓ Abstinence is proximal
- ✓ Negative reinforcement
- ✓ ~ 12-18 mos. (~100 hrs.)

Low Risk

- ✓ Noncompliance calendar
- ✓ Treatment (separate milieu)
- ✓ Adaptive habilitation
- ✓ Abstinence is distal
- ✓ Positive reinforcement
- ✓ Self-help/alumni groups
- ✓ ~ 12-18 mos. (~150 hrs.)

- ✓ Noncompliance calendar
- ✓ Psycho-education
- ✓ Abstinence is proximal
- ✓ Individual/stratified groups
- ✓ ~ 3-6 mos. (~ 12-26 hrs.)



HIGH RISK AND HIGH NEED



PHASE 1

ACUTE STABILIZATION

- ✓ Court weekly
- ✓ Engage with treatment
- ✓ Comply with supervision
- ✓ Develop case plan
- ✓ Weekly office visit
- ✓ Monthly Home visits
- ✓ Weekly random drug testing (minimum of 2)
- ✓ Daily Alcohol Testing
- ✓ Address Transportation
- ✓ Address housing
- ✓ Obtain medical assessment

60 Days

In Order to Advance:

Regular attendance at
treatment, office visits,

BEING HONEST

Sobriety time minimum of 14
consecutive days

- ✓ Maintain employment (to every extent possible)
- ✓ Address statutory-mandated jail
- ✓ Change people, places and things
- ✓ Curfew 9 pm





PHASE 2

CLINICAL STABILIZATION

- ✓ Court bi-monthly
- ✓ Comply with treatment & supervision
- ✓ Continue changing people, places & things
- ✓ **Review Case Plan**
- ✓ Weekly office visit
- ✓ Monthly Home visits
- ✓ Daily alcohol testing
- ✓ Weekly random drug testing (minimum of 2)
- ✓ Maintain employment
- ✓ **End of phase begin to focus on Peer Recovery Groups**
- ✓ **Continue Address Transportation**

90 Days

In Order to Advance:

Compliance with Treatment
Compliance with Supervision
Sobriety time minimum of 30
consecutive days

- ✓ **Maintain Housing**
- ✓ **Addressing financial**
(budget assessment)
- ✓ **Curfew 10 pm**





PHASE 3

PRO-SOCIAL HABILITATION

90 Days

- ✓ **Court monthly**
- ✓ Comply with treatment & supervision
- ✓ Continue addressing ancillary services
- ✓ Continue changing people, places & things
- ✓ Review Case Plan
- ✓ **Bi-monthly office visit**
- ✓ Monthly Home visits
- ✓ Daily alcohol testing
- ✓ Weekly random drug testing (minimum of 2)
- ✓ **Begin Criminal Thinking**
- ✓ **Establish Recovery Network**

In Order to Advance:

Compliance with Treatment
Compliance with Supervision
Began pro-social activity
Began recovery support
network
Sobriety time minimum of 45
consecutive days

- ✓ **Establish Pro-Social Activities**
- ✓ **Curfew 11 p.m.**





PHASE 4

ADAPTIVE HABILITATION

- ✓ Court monthly
- ✓ Comply with treatment & supervision
- ✓ Continue addressing medical
- ✓ Continue changing people, places & things
- ✓ Review Case Plan
- ✓ Bi-monthly office visit
- ✓ Monthly Home visits
- ✓ Maintain Housing
- ✓ **Weekly random alcohol testing**
(minimum of 2)
- ✓ Maintain Pro-Social Activity & Recovery Network
- ✓ **Curfew 12 a.m.**
- ✓ **As need based upon assessment:**
 - ✓ **Job Training**
 - ✓ **Parenting/Family Support**
 - ✓ **Vocational Training**

90 Days

In Order to Advance:

Compliance with Treatment
& Supervision

Maintain pro-social activity

Maintain Recovery support
network

Began/maintain ancillary
requirements

Sobriety time minimum of 60
consecutive days





PHASE 5

CONTINUING CARE

90 Days

- ✓ Court monthly
- ✓ Comply with treatment & supervision
- ✓ Continue addressing medical
- ✓ Continue changing people, places & things
- ✓ Review Case Plan
- ✓ **Monthly office visit**
- ✓ Monthly Home visits
- ✓ Maintain Housing
- ✓ **Random drug testing**
- ✓ **Development of continuing care plan**
- ✓ Maintain Pro-Social Activity & Recovery Network
- ✓ As need based upon assessment:
 - ✓ Job Training
 - ✓ Parenting/Family Support
 - ✓ Vocational Training

In Order to Commence:

Compliance with Treatment & Supervision

Maintain pro-social activity

Maintain Recovery network

Maintain areas as needed
(*employment, parent/family support, vocational training, etc.*)

Clean time minimum of 90 consecutive days



THERE'S A LOT TO REMEMBER




SAMPLE WEEKLY SHEET

Repetition
Ask
Questions
Teach



Name: _____

Week 1 2 3

 **NCDC**
NATIONAL CENTER
FOR DWI COURTS

Congratulations on deciding to opt into DWI Court! This might be the most important decision to assist you in making a permanent change to your life. The DWI Court Team wants to help you be successful in making this life change and has structured your first 3 weeks. The goal is to help you form new habits, stay organized, and get familiar with the recovery community.

Specific to Week 1 (check if attended)

☐ Treatment Intake: _____

☐ Probation Intake: _____

☐ Other Appointment: _____

Call Color Line Daily (555) 555-5555 (color: _____)

☐ Tues ☐ Weds ☐ Thurs ☐ Fri ☐ Sat ☐ Sun ☐ Mon

Treatment (check if attended)

☐ Counselor: _____ Day/Time: _____
Information Learned: _____

☐ Counselor: _____ Day/Time: _____
Information Learned: _____

☐ Counselor: _____ Day/Time: _____
Information Learned: _____

☐ Counselor: _____ Day/Time: _____
Information Learned: _____

Transportation Plan: How will I meet my RESPONSIBILITIES?

Highlight from Week: _____

First 3 Weeks DWI Court

TURN IN SHEET TO COURT COORDINATOR ON MONDAY BEFORE COURT

CONSIDERATIONS



Population

Accountability

Resources

Culture

Challenges

**Realistic &
Recovery
Focus**



CLIENT HANDBOOK / CONTRACT

